



The effect of sub inhibitory concentrations of antibiotics on bacterial evolution

Louise Chow

Department of Biological Sciences. Macquarie University

Macquarie University

North Ryde, 2109

Sydney, Australia.

Ph + 61 9850 6270

Supervised by Prof. Michael Gillings

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Abstract

Antibiotics and the genetic elements that confer resistance to them are both disseminated into aquatic environments via human waste streams and agricultural run-off. Antibiotics are not readily broken down or degraded and therefore persist in the environment at low concentrations. Such pollution with antibiotics establishes a selection gradient, but may also raise the frequency of events that can generate resistance: point mutations; recombination; and lateral gene transfer. The effect of clinical levels of antibiotics on the evolution of antibiotic resistance has been extensively studied. This study examined the response of bacteria to sub inhibitory levels of antibiotics, such as those found in aquatic environments subject to human pollution. Two species, *Pseudomonas aeruginosa* and *Pseudomonas protogens* were exposed to subclinical levels of the antibiotics kanamycin, tetracycline and ciprofloxacin. The minimum inhibitory concentration (MIC) of the bacteria to these antibiotics was determined, and then bacteria were subjected to 1/10 the MIC in a serial streaking experiment over 40 generations. Repetitive Element polymerase chain reactions were carried out to monitor changes in genomic DNA every five generations. Significant changes in the banding patterns of both species were discovered, even at five generations, suggesting that 1/10 the MIC induces mutation and/or recombination events. Lines exposed to sub-clinical levels of antibiotics also exhibited phenotypic effects. There were significant changes to colony morphology in exposed lines. The final MICs at generation 40 were significantly higher in some lineages of *Ps. protogens*, showing that even subclinical levels of antibiotics can select for resistance. In conclusion, these experiments demonstrate that exposure to sub-clinical levels of antibiotics, such as those found in waste streams, can induce significant genotypic and phenotypic changes.

Chapter 1

Introduction

In early 2014 the World Health Organisation identified Antibiotic Resistance as one of the greatest threats to human Health for the 21st century [1]. There are fears that if current trends in antibiotic resistance continues, we may face a post-antibiotic era where infections are harder, and in some cases, impossible to control. Standard treatments for infections will no longer be sufficient, there will be a high risk of spread of infection, illnesses will take longer to treat, and the risk of death will be greatly increased. The practice of modern medicine is itself threatened, since invasive surgeries may become too risky to perform, and common infections and minor injuries could kill [2].

The risks of widespread antibiotic resistance were known before antibiotics were widely used. The first multi-drug resistant strain appeared as early as 1955 [3]. Overuse and misuse of antibiotics in the medical and agricultural sectors have contributed to the problem, and it is now estimated that 70% of pathogens exhibit resistance to at least one antibiotic [4]. Development of new, stronger antibiotics will help in the short term, but will only continue to feed the growing problem. However, few new antibiotics have been produced over the last 30 years, as there is little incentive for pharmaceutical companies to develop antibiotics that will likely become ineffective, or be reserved as a last line of defence [1, 5].

Antibiotic resistance is a global threat and needs to be treated as such. In April 2014, the World Health Organisation released a Global Report on Surveillance which compiled data from 114 countries, examining seven common, yet serious pathogenic bacterial species. Resistance to 3rd generation cephalosporins, fluoroquinolones, carbapenems, β -lactams and penicillin antibiotics was reported. In most cases, the risk of death doubled if the individual was infected with a resistant strain of bacteria. In the United States in 2013, there were 23,000 confirmed deaths due to antibiotic resistance (US CDC) and Europe reported 25,000 death per year (2007, ECDC).

The primary use of antibiotics is medical, where they are used to treat a range of bacterial infections. However, misuse of antibiotics is contributing to the development of resistance. Often antibiotics are prescribed for viruses, such as the common cold, which cannot be treated by antibiotics [6]. They are also used in higher than necessary concentrations when a lower dosage

or alternative treatments would be equally as effective [7, 8]. Antibiotics are often misused; examples include unfinished courses of antibiotics and daily antibiotic supplements, both of which contribute to the development of resistance [6, 9].

Antibiotics are extensively used in agriculture and aquaculture to prevent disease, infections, and as a growth promoter [10, 11]. It has been estimated that 50-70% of antibiotics produced in the United States of America are used in agriculture [12, 13]. Antibiotics use in agriculture not only contribute to the level of antibiotics in the environment but also pose a direct threat to human health through contamination [13]. This was seen in 2013, in California, when a multidrug resistant strain of *Salmonella* saw 300 people fall ill, with 40% hospitalised following consumption of chicken from a farm using antibiotic supplemented feed (CDC 2013). This was the largest outbreak of a multidrug resistant bacterial strain in a western country and sparked attempts to control antibiotic-supplemented feed [10].

The faecal waste from animal agriculture is often reused as manure for crops. However if the animals have been maintained on antibiotics, there will be antibiotics and antibiotic resistance genes present in the manure which could then threaten human health. Following one application of manure, antibiotics and antibiotic resistance genes can persist in the soil for approximately six months, depending on environmental conditions, during which time it could be dangerous to consume products that have had direct contact with the soil. [14]

Origins of antibiotics

Just as bacteria are naturally occurring, so are many of the compounds we use as antibiotics. The majority of antibiotics are produced by fungi, actinomycetes and other bacteria [4]. By purifying and concentrating these molecules, humans have transformed them into the powerful agents we use today.

There are two main hypotheses about the role that antibiotics may play in natural environments: as killing molecules or as signalling molecules. The theory that antibiotics may have been killing molecules comes from the idea that antibiotics are produced in stressful conditions in order to protect their producer against organisms such as fungi and other bacteria. This theory was supported in that the environments that bacteria inhabit, such as biofilms, are often very limited

in resources such as space and nutrients, therefore it would be advantageous to have a mechanism to remove competitors from the surrounding areas. Antibiotics may have played a role in this [15]. The second theory and the most likely theory, is that antibiotics are signalling molecules. As antibiotics occur in such low concentrations, it is unlikely that they would ever have reached concentrations high enough to have any significant killing effects. Low concentrations of antibiotics have been found to trigger transcriptional changes and regulate interactions within microbial communities [16].

Mechanisms of Antibiotic resistance

Antibiotics can be grouped into two categories: bactericidal antibiotics that kill bacteria or bacteriostatic antibiotics that affect the growth of bacteria [17, 18]. They can be further classified by their mechanism of action, such as inhibition of cell wall synthesis, preventing synthesis of protein, RNA or DNA, or attacking the cell membrane [19].

Organisms can be intrinsically resistant to antibiotics [20] or they can acquire resistance through two main mechanisms; mutation of existing genes or lateral gene transfer (LGT) of genetic material between individuals and species. Intrinsic resistance is a phenotypic feature common to all individuals of a particular species. A bacterial species may exhibit intrinsic antibiotic resistance via outer membrane permeability or efflux mechanisms. Outer membrane permeability is mediated by two cell wall structures: the first being the positively charged nature of lipopolysaccharides, which prevent the entry of negatively charged antibiotic molecules; and the second being highly selective outer membrane proteins called porins which control the influx of molecules and can prevent the entry of antibiotics. Bacterial cells also utilise efflux pumps to actively move antibiotics out of the cell [20]. Efflux pumps can be specific to one drug, or more general and capable of transporting several drugs out of the cell.

Bacteria can generate resistance through mutations to existing genetic material, which can lead to resistance via modification of target sites which then prevents the antibiotic from binding in the cell. Like intrinsic resistance, mutations can alter efflux pumps that actively remove antibiotics from the bacterial cell [21]. Bacteria can also modify enzymes so that they can directly inactivate the antibiotic. This is most common for β -lactam and aminoglycoside antibiotics [22].

Bacteria can acquire and disseminate genes conferring antibiotic resistance via lateral gene transfer (also known as horizontal gene transfer) via conjugation, transduction, or transformation [15]. Genetic material such as plasmids can be transferred between bacteria by conjugation. DNA molecules are transferred via a structure called the pilus which forms between two bacterial cells [23]. Transformation is the uptake of DNA fragments and genetic elements directly from the environment. This can occur when a bacterium dies, it breaks apart and the fragments can be absorbed by nearby bacteria which incorporate the DNA into their own genetic material. Genetic material may be transferred via a vector, commonly a virus, which infects the bacteria and in doing so introduces the resistance gene along with its own DNA. [6, 24].

Lateral gene transfer allows the genetic information within a microbial environment to be shared between individuals. It is in this way that resistance can be disseminated on a global scale. This form of gene exchange means that bacteria is not reliant on random beneficial mutations as are the vast majority of eukaryotes [25].

Antibiotic pollution

A relatively small amount of the antibiotics consumed by humans and animals are actually absorbed into the body, 30-90% of antibiotics pass through unchanged and are excreted into waste treatment facilities [26]. Antibiotics, along with heavy metals, disinfectants and genes conferring resistance are disseminated into the environment via human waste streams, agricultural run-off [27] and effluent from antibiotic production factories [28, 29]. Although these are usually filtered through waste treatment facilities, the current mechanisms used to treat waste is often unable to remove these substances from water and the water is either reused as reclaimed water [30] or released into the environment via rivers [31, 32], estuaries and the ocean [30, 33]. . Manuring of land for agriculture can also result in antibiotics entering the soil or washing into waterways [34-36]. The release of these substances into the environment should be thought of as a significant component of water pollution.

Waste water treatment facilities and aquatic environments can become hotspots for the generation and acquisition of resistance. The presence of selective agents such as antibiotics, heavy metals and disinfectants, combined with genes conferring resistance, mobile elements such

as integrons, and diverse microorganisms creates an optimal environment to generate resistance through mutation or lateral gene transfer.

The time that an antibiotic can persist in the environment differs depending on the class of antibiotic and the environmental conditions [57]. Closed bottle tests provide a simple way to measure the biodegradability of antibiotics and indicate whether or not the antibiotic will readily degrade in aquatic environments. Classes of antibiotics such as the β -lactams, tetracyclines, macrolides, lincosamides, aminoglycosides, carbapenems, nitroimidazoles, quinolones, sulphonamides and glycopeptides have been found to persist over a 28 day testing period. [37, 38] High temperatures and exposure to UV light can cause degradation of some antibiotics. Fluoroquinolone antibiotics can degrade in sunlight, however they are readily absorbed onto sediments, where they have been documented persisting up to 80 days with less than 1% of degradation [39]. It would be optimal if resistant organisms destroyed or inactivated the antibiotics, however the mechanisms that usually allow for resistance involve mutation of binding sites and efflux pumps meaning that the antibiotics may persist in the environment [40]. It is clear that antibiotics persist in the environment for longer than previously thought and that this could have serious implications for the development of antibiotic resistance in natural environments.

Sub inhibitory concentrations of antibiotics

As mentioned above, 30-90% of antibiotics pass through humans and animals and are introduced to the environment via a number of pathways. Many studies have investigated the effect of clinical levels, or inhibitory levels, of antibiotics on the generation of antibiotic resistance. However, there is increasing speculation that sub inhibitory levels of antibiotics may be having significant effects on bacterial populations. A gradient of antibiotic concentration forms within all human-dominated environments. Within the human microbiome there may be a gradient along the digestive tract. Dissemination of antibiotics via waste water will cause a gradient of antibiotic concentration spreading outwards from human population centres.

SOS Response

The SOS response is a broad response to DNA damage that has been documented in many bacterial species. It may play a significant role in the generation of antibiotic resistance, as it can increase the rates of mutation and lateral gene transfer [41]. It is ultimately triggered by an increased occurrence of single stranded DNA occurring due to DNA damage or inhibition of the processes involved in DNA replication. The SOS response is mediated by the LexA repressor. Under normal conditions, LexA prevents SOS genes from being expressed. Under stressful conditions, the protein, RecA is recruited onto single stranded DNA where it stimulates cleavage of the LexA repressor, inactivating it and therefore allowing the expression of approximately 40 SOS genes. SOS genes are mainly involved in DNA repair [41, 42].

It is well documented that lethal concentrations of antibiotics can induce the SOS response in bacteria [43, 44]. It has been suggested that sub-inhibitory levels of antibiotics, as those discussed above, may be more relevant to the problem of antibiotic resistance than lethal concentrations of antibiotics [5, 8, 42]. Lethal concentrations exert a strong selective pressure on bacteria whereby they either die or they acquire mutations allowing them to survive. When exposed to sub-inhibitory levels of antibiotics, bacteria survive with little effect on growth, and the SOS response is initiated. This, in turn, increase rates of mutation and lateral gene transfer. The diverse mutations or lateral transfers generated via this mechanism can become fixed within populations of bacteria. [41]

How sub-inhibitory levels of antibiotics may increase resistance mutations

While clinical concentrations of antibiotics select for resistant strains of bacteria, sub-clinical or sub-inhibitory levels of antibiotics may do so indirectly, encouraging the emergence of novel mutations that provide resistance. Sub-inhibitory antibiotic concentrations induce the SOS response, causing expression of error prone DNA polymerases, which consequently increases the likelihood of mutations occurring, including those that might confer antibiotic resistance [5, 45, 46].

How sub-inhibitory levels of antibiotics may increase lateral gene transfer

Mobile elements containing genes conferring resistance, such as plasmids, integrons, transposons

and insertion sequences are transferred between organisms via transformation, conjugation or transduction. The propensity for these mechanisms to occur is greatly increased by sub-inhibitory concentrations of antibiotics, since they initiate the SOS response which, in turn, promotes the expression of genes involved in lateral gene transfer [42].

Antibiotics and molecular evolution – are we selecting for lineages of bacteria with increasing ability to evolve?

Traditionally, it has been thought that the rate at which populations generate genetic variation is constant and largely dictated by the generation time of a species, where species with short generations are able to evolve more rapidly than species with long generations. However there is an emerging hypothesis that the rate at which evolution occurs may be more flexible than once thought and could be dictated by both the generation time of a species and the intrinsic rate at which genetic variation can be generated within a population. Central to this idea is that just as traits such as body size and color are under selection pressure, so also is the rate at which the raw material for evolution (variation) can be generated [47]. The ability of a population to evolve and the speed at which this can take place, also needs to be thought of as a trait that is under selective pressure and may be altered or changed in any given environment, this trait being termed ‘evolvability’ [48]. The rate at which genetic variation is generated is under balancing selection, whereby in fluctuating or changing environments, the problems associated with the loss of genetic integrity that is produced by mutation, recombination and gene transfer, are outweighed by the demand for novelty. Novel traits may be advantageous for individuals in rapidly changing environments.

Humans provide a huge selective pressure on bacteria through the excessive use of antibiotics in both the medical and agricultural fields, resulting in strong selection for bacteria that acquire traits to avoid or withstand the deleterious effects of antibiotics. It is thought that humans may be inadvertently selecting for lineages of bacteria with a greater ability to evolve through increased rates of mutation and lateral gene transfer [49].

It may be that the sub-inhibitory concentrations of antibiotics that pollute areas surrounding human activity are affecting: (i) the rates at which bacteria can generate variation; and (ii) the

rates at which advantageous mutations fix in natural environments. However, there has been little or no empirical evidence to address these hypotheses.

In this thesis, I have passaged two species of *Pseudomonas* as single colony transfers, on media containing 1/10 the minimum inhibitory concentration of three different classes of antibiotics. In comparison to control lines, experimental lines showed changes to their genome, and phenotypic changes to colony morphology and antibiotic resistance traits. These results suggest that environmental pollution with antibiotics may be having significant effects on the genotypes and phenotypes of environmental bacteria.

Chapter 2

Exposure to sub-clinical concentrations of antibiotics induces genomic and phenotypic changes and promotes antibiotic resistance

This chapter is written as a manuscript for submission to Emerging Infectious Diseases and is formatted accordingly.

In these experiments, I show that passage of bacterial colonies on media containing sub-inhibitory concentrations of antibiotics induces genotypic and phenotypic change: including increased resistance. The implications of these results are clear: exposure of the environmental microbiome to antibiotic pollution will induce similar changes, including generating newly resistance species that may be of significant concern for human health.

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2 **Article Summary Line:** Low concentrations of antibiotics, such as those polluting natural environments,
3 can help generate resistance and threaten human health.

4 **Running title:** Effects of sub-inhibitory antibiotic concentrations

5 **Keywords:** antibiotic resistance, sub-inhibitory, antibiotic pollution, SOS response, evolution

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7 **Exposure to sub-clinical concentrations of antibiotics induces genomic and phenotypic changes and**
8 **promotes antibiotic resistance**

9

10 Louise Chow¹, Liette Vandine¹ and, Michael R. Gillings^{1*}

11 Department of Biological Sciences, Macquarie University, Sydney, NSW, 2109, Australia¹

12 *Correspondence: Michael R. Gillings, Department of Biological Sciences, Genes to Geoscience
13 Research Centre, Macquarie University, Sydney, NSW, 2109, Australia

14 Tel: 61 2 9840 8199

15 Fax: 61 2 9850 9237

16 Email: michael.gillings@mq.edu.au

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21 **Abstract**

22 Antibiotics and the genetic elements that confer resistance to them are disseminated into
23 environments via human waste streams and agricultural run-off. Where they can persist at low,
24 but biologically relevant, concentrations. Antibiotic pollution establishes a selection gradient for
25 resistance and may also be raising the frequency of events that generate resistance: point
26 mutations; recombination; and lateral gene transfer. This study examined the response of bacteria
27 to sub-inhibitory levels of antibiotics. *Pseudomonas aeruginosa* and *Pseudomonas protogens*
28 were exposed to subclinical levels of the antibiotics kanamycin, tetracycline and ciprofloxacin.
29 Bacteria were subjected to 1/10 the minimal inhibitory concentration (MIC) in a serial streaking
30 experiment over 40 generations. REP-PCR monitored changes in DNA every five generations.
31 Significant changes in the DNA finger prints of both species were noted. Experimental lines also
32 displayed variant colony morphologies. The final MICs were significantly higher in some
33 experimental lineages of *Ps. protogens* suggesting that 1/10 the MIC induces mutation and/or
34 recombination events that can generate resistance.

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42 **Introduction**

43 Antibiotic resistance has been identified as one of the greatest threats to human health for the 21st
44 century by the World Health Organisation [1]. Overuse and misuse of antibiotics in the medical
45 and agricultural sectors have contributed to the problem, and it is estimated that 70% of
46 pathogens now exhibit resistance to at least one, if not more, antibiotics [2]. In most cases the
47 risk of death is doubled if the individual is infected with a resistant strain of bacteria. In the
48 United States in 2013, there were 23,000 confirmed deaths due to Antibiotic resistance (US
49 CDC) and Europe reports 25,000 deaths per year (2007, ECDC).

50 The primary use of antibiotics is medicinal, where they are used to treat a range of bacterial
51 infections. However, misuse and overuse of antibiotics are contributing to the development of
52 antibacterial resistance. Incorrect prescription of antibiotics, unnecessarily high dosages and
53 over-use are all promote resistance [3-6]. Antibiotics are also extensively used in agriculture and
54 aquaculture to prevent disease and infection, and as a growth promoter [7, 8]. It has been
55 estimated that 50-70% of antibiotics produced in the United States of America are used in
56 agriculture [9, 10].

57 A relatively small amount of the antibiotics consumed by humans and animals are actually
58 absorbed into the body, 30-90% of antibiotics pass through unchanged and are excreted into
59 waste treatment facilities or into the environment[11]. Antibiotics, along with heavy metals,
60 disinfectants and genes conferring resistance are disseminated into the environment via human
61 waste streams, agricultural run-off [12] and effluent from antibiotic production factories [13, 14].
62 Although these are usually filtered through waste treatment facilities, the current mechanisms
63 used to treat waste are often unable to remove these substances from water and the water is either
64 reused as reclaimed water [15] or released into the environment via rivers [16, 17], estuaries and

65 the ocean [15, 18]. The release of these substances into the environment should be thought of as a
66 significant component of soil and water pollution.

67 Many studies have investigated the effect of clinical levels, or inhibitory levels, of antibiotics on
68 the generation of antibiotic resistance. However, there is increasing speculation that sub
69 inhibitory levels of antibiotics may be having significant effects on bacterial populations. A
70 gradient of antibiotic concentration forms around human activities. Within the human
71 microbiome there may be a gradient along the digestive tract, while dissemination of antibiotics
72 via waste water will cause a gradient of antibiotic concentration spreading outwards from human
73 population centres.

74 Sub inhibitory levels of antibiotics are known to trigger the SOS response, a broad response to
75 DNA damage that has been documented in many bacterial species. It may play a significant role
76 in the generation of antibiotic resistance, as it can increase the rates of mutation and lateral gene
77 transfer [19]. It is ultimately triggered by an increased occurrence of single stranded DNA
78 resulting from DNA damage or inhibition of the processes involved in DNA replication. The
79 SOS response is mediated by the LexA repressor. Under normal conditions, LexA prevents SOS
80 genes from being expressed. Under stressful conditions, the protein RecA is recruited onto single
81 stranded DNA where it stimulates cleavage of the LexA repressor, inactivating it and therefore
82 allowing the expression of approximately 40 SOS genes. SOS genes are mainly involved in DNA
83 repair. [19, 20].

84 It is well documented that lethal concentrations of antibiotics can induce the SOS response in
85 bacteria [21, 22]. It has also been suggested that sub-inhibitory levels of antibiotics, as those
86 discussed above, may be more relevant to the problem of antibiotic resistance than lethal
87 concentrations of antibiotics [4, 20, 23]. Lethal concentrations exert a strong selective pressure

88 on bacteria whereby they either die or they acquire mutations allowing them to survive. When
89 exposed to sub-inhibitory levels of antibiotics, most bacteria survive with little effect on growth,
90 and the SOS response is initiated. This, in turn, increases general rates of mutation and lateral
91 gene transfer amongst all bacteria in a population. It is also thought that humans may be
92 inadvertently selecting for lineages of bacteria with a greater ability to evolve through increased
93 rates of mutation and lateral gene transfer [24].

94 It may be that the sub-inhibitory concentrations of antibiotics polluting areas surrounding human
95 activity are affecting: (i) the rates at which bacteria can generate variation; and (ii) the rates at
96 which advantageous mutations fix in natural environments. However, there has been little or no
97 empirical evidence to test these ideas.

98 In this study, two species of *Pseudomonas* were passaged as single colony transfers on media
99 containing 1/10 the minimum inhibitory concentration of three different classes of antibiotics.
100 This experiment was designed to test the genotypic and phenotypic effect of realistic levels of
101 antibiotic pollution.

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109 **Materials and Methods**

110 *Bacterial isolates*

111 Isolates of two species were selected for this study: *Pseudomonas aeruginosa* strain PA14; and
112 *Pseudomonas protegens* strain PF-5. *Pseudomonas* is an ideal study genus as it encompasses
113 human and environmental bacteria and has been extensively studied. Both strains have been
114 genome sequenced (Genbank: AY273869.1 GenBank: CP000076.1. [25, 26]). *Ps. aeruginosa*
115 PA14 is an opportunistic bacterium that causes infections in hospitals and cystic fibrosis patients.
116 *Pseudomonas protegens* PF-5 (formally *Pseudomonas fluorescens* PF-5) is a common soil
117 bacterium studied for its potential biocontrol properties [27].

118 *Pseudomonas aeruginosa* PA14 was obtained from Professor Joyce Loper, Oregon State
119 University and *Pseudomonas protegens* PF-5 was obtained from Professor Ian Paulsen,
120 Macquarie University. Bacteria were maintained on LB Agar plates (0.01% tryptone, 0.005%
121 yeast extract, 0.005% sodium chloride, 0.015% Agar) at 25°C. A second isolate of *Pseudomonas*
122 *protegens* PF-5 was obtained that had been routinely maintained of 100µg/ml ampicillin, which
123 is a common laboratory practice. This isolate was studied in order to determine whether
124 maintenance on ampicillin affects the resistance of *Pseudomonas protegens* PF-5 and will be
125 referred to as *Pseudomonas protegens* PF-5A. Single colonies were re-suspended in equal parts
126 30% glycerol and M9 salts and held at -80°C for long term storage.

127

128 *Antibiotic treatments*

129 Three antibiotics were selected for this study, each with different modes of action: kanamycin;
130 tetracycline; and ciprofloxacin. Kanamycin is an aminoglycoside antibiotic which binds to the

131 30S ribosomal subunit and inhibits prevents protein synthesis [28]. Tetracycline is a polyketide
132 antibiotic that is similar to kanamycin in that it binds to the 30S ribosomal subunit, however it
133 prevents aminoacyl-tRNAs attaching to the ribosome, which in turn prevents addition of amino
134 acids to growing polypeptide chains [29]. Ciprofloxacin is a second generation fluoroquinolone
135 used to treat a broad spectrum of infections. It inhibits DNA gyrase, which in turn prevents DNA
136 replication [30].

137

138 *Determination of minimum inhibitory concentration*

139 The minimum inhibitory concentration (MIC) was determined for each isolate against the three
140 antibiotics following the methodology outlined in Weigand et.al. (2008) [31]. MICs were
141 determined in microtitre trays containing a serial dilution of the relevant antibiotic in Luria-
142 Bertani medium (0.01% tryptone, 0.005% yeast extract, 0.005% sodium chloride). Wells were
143 inoculated with bacteria that was prepared from an overnight culture and diluted to an optical
144 density of 0.01. The concentration of antibiotic in test wells ranged from 32mg/L to 0.0156mg/L
145 for ciprofloxacin and 512mg/L to 0.0156mg/L for tetracycline and kanamycin. A growth control
146 containing only the suspension of bacteria and a sterility control containing only medium were
147 included on each plate. Plates were incubated at 25°C for 24hr and then the optical density was
148 read on a Pherastar FS spectrometer at 540 nm. Relative optical density was plotted against
149 antibiotic concentration to determine the MICs.

150 To determine statistical significance of differences in MIC, a one way analysis of variance
151 (ANOVA) was performed. Growth data were expressed as the ratio of growth in the presence of
152 antibiotics against growth in the control. This standardised the data prior to the ANOVA

153 *DNA extraction*

154 DNA was extracted from bacterial cultures using a bead-beating method [32, 33]. Briefly, a
155 single, well isolated colony from an overnight culture was resuspended in a lysing matrix tube
156 with sodium phosphate buffer and MT buffer (MP Biomedicals) or with CLS-TC buffer (MP
157 Biomedicals). Preliminary testing indicated no significant difference between sodium phosphate
158 buffer and MT buffer and CLS-TC buffer, therefore CLS-TC buffer was used for the remainder
159 of the study as it was the more economical of the two (Appendix 1). Cells were physically lysed
160 by treatment in a FastPrep FP120 (BIO 101 Savant) machine for 30s at 5.5m/s before being
161 centrifuged in an Eppendorf 5417C, for 5 minutes at 14,000x g. Protein precipitation, binding
162 and washing of DNA, and subsequent elution in TE buffer were as previously described [32, 33].
163 Purified DNA was stored at -20°C.

164

165 *Repetitive Element PCR*

166 DNA fingerprints were generated using ERIC-PCR, REP-PCR or BOX-PCR [34, 35] with the
167 modifications outlined in Gillings and Holley (1997a, b [36]). One µL of DNA was mixed with
168 9µL of GenereleaserTM (Bioventures Inc.) in a 0.5mL PCR strip tube, and heated on high for 7
169 min in a 650 W microwave oven with a microwave sink. Tubes were then held at 80°C for 5 min
170 in an Eppendorf Master Cycle Epigradient S PCR machine, before 40µL of PCR master mix was
171 mixed into each tube. The PCR master mix per reaction was as follows: 11 µL PCR water, 25 µL
172 GoTaq® white (Promega), 2.5 µL 25 mM MgCl₂, 0.5 µL 1 mg/ml RNase, 1µL 50µM BOXA1R
173 primer. Negative controls containing GenereleaserTM only and water only were included in each

174 PCR. The appropriate PCR cycle was then performed (Table 1). BOX, ERIC and REP primers
 175 were synthesised by Sigma-Aldrich Inc.

176 **Table 1:** Thermal cycling programs and primers used to generate DNA fingerprints using Rep-
 177 PCR

Rep PCR	Primers	Thermal Cycle
BOX	5'CTACGGCAAGGCGACGCTGACG	94°C 3 mins 94°C 30s 52°C 30s 68°C 8 mins 68°C 15mins 4°C hold x 35
ERIC	ERIC1R: 5'ATGTAAGCTCCTGGGGATTAC ERIC 2: 5'AAGTAAGTGAAGTGGGGTGAGCG	94°C 3 mins 94°C 30s 52°C 30s 68°C 8 mins 68°C 15mins 4°C hold x 35
REP	REPR: 5'TTCGCGGGCAAGCCRGCTCC REP F: 5'GGCTTGCCRGCGAARRGGCC	94°C 3 mins 94°C 30s 65°C 30s 72°C 8 mins 72°C 15mins 4°C hold x 35

178 *ERIC sequences Hulton et al (1991) [58], BOX sequences Martin et al (1992) [59]*

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182 *Agarose Electrophoresis*

183 PCR products were separated on 2% agarose gels poured in Tris-Borate-EDTA (TBE) buffer
184 [37]. DNA samples were loaded with one quarter volume of bromophenol blue loading dye
185 (0.45M Tris-borate, 0.01 EDTA, 40% sucrose, 0.25% bromophenol blue). A 100 base pair ladder
186 (Crown Scientific) was included on each gel. Gels were run in Tris-Borate-EDTA (TBE) at 110
187 volts for 50 to 80 min. Gels were stained with GelRed [™] (Biotium) and DNA visualized under
188 UV light. Gel images were captured using a Gel logic 2200 PRO camera and Carestream MI
189 computer software.

190

191 *Serial Plating Experiments*

192 A single colony of each species was used to inoculate a series of triplicate plates: control LB agar
193 plates; LB plates containing 1/10 the MIC for kanamycin; LB plates containing 1/10 the MIC for
194 tetracycline; and LB plates containing 1/10 the MIC for ciprofloxacin. Plates were incubated at
195 25°C for 48 hr, referred to here, for convenience, as one generation.

196 After incubation for 48hr, a single well-separated colony from each plate was used to continue
197 the serial plating. After five generations, three single colonies were randomly selected from each
198 plate for DNA extraction and PCR analysis, the first of these three would also be used to
199 continue the serial plating. Repetitive Element PCRs were carried out to monitor changes in
200 DNA patterns and to monitor for possible contamination of the cultures.

201

Table 2: Concentrations of antibiotics used in serial plating experiments

Bacterial species \ Antibiotic	PA14	PF5	PF5A
Kanamycin	25.6 mg/L	0.8 mg/L	0.8 mg/L
Tetracycline	25.6 mg/L	25.6 mg/L	25.6 mg/L
Ciprofloxacin	0.0125 mg/L	0.025 mg/L	0.025 mg/L

DNA banding analysis

Images captured of the gels were analysed to identify changes in the banding patterns, indicative of changes in the genome of the sample. Changes were scored against a control profile to calculate the similarity coefficient (F) using the formula devised by Nei and Lei (1979) [38]:

$$F = 2N_{xy} / (N_x + N_y)$$

Where N_x and N_y are the number of bands in lane x and lane y respectively and N_{xy} is the number of bands that lane x and lane y share. Samples with an F value of 1 are identical while a value of 0 indicates no similarity. Scoring of the bands was carried out blind by an individual not involved in the Rep-PCR process to remove the possibility of bias. The F-values for antibiotic treatments were plotted as a scatter graph to illustrate the spectrum of variation.

Changes in Colony Morphology

To examine colony morphology at the end of the experiment, colonies of all lines from generation 40 were streaked onto LB agar plates and incubated for 48 hr at 25°C. Images of single colonies were captured using a Motic BA300 compound microscope with a 4x lens,

220 mounted with a Moticam 2 2.0MP camera and were analysed using DigiLabII-C and Motic
221 Images Plus 2.0 computer programs.

222

223 **Results**

224 *Colony morphology changes*

225 Images captured of colonies at generation 40 show significant morphological changes between
226 treatment groups. The three control lines of *Ps. aeruginosa* PA14 displayed no significant
227 changes, kanamycin line 2, tetracycline lines 2 and 3, and ciprofloxacin line 3 exhibited
228 significant changes to their colony morphology (Fig. 1a). The three control lines of *Ps.*
229 *protegens* PF-5 displayed little change, kanamycin line 3 and tetracycline line 3 exhibited
230 significant morphological differences. The three ciprofloxacin lines were relatively unchanged
231 (Fig. 1b). The three control lines and three tetracycline lines of *Ps. protegens* PF-5A had similar
232 colonies. All three kanamycin lines had significantly changed colonies, as had lines 2 and 3 of
233 the ciprofloxacin treatment (Fig. 1c).

234

235 *Detectable genome changes*

236 BOX, ERIC AND REP-PCRS were carried out to detect genome changes. The basis of these
237 PCRs is explained in Gillings & Holley (1997) [36], but, in brief, relies on amplification of
238 regions between two random, but reproducible priming sites. Consequently, amplicons are
239 sensitive to mutations in the priming sites and indels across the amplified regions. After testing
240 both species with ERIC, REP and BOX primers, BOX-PCR was determined as the best method
241 to examine changes. BOX-PCRs every five generations showed changes in the banding patterns

242 in the samples exposed to 1/10 MIC antibiotics while the control lines remained the same
243 indicating that the changes were due to antibiotic exposure (Fig. 2). A scatter plot of the f-
244 statistic highlighted the uniformity of control lines and the variation seen among antibiotic
245 treatment groups, both species that were exposed to 1/10 MIC of tetracycline and ciprofloxacin
246 exhibited several variants while only *Ps. protegens* PF-5 that had been treated with kanamycin
247 showed variation (Fig. 3).

248 **Figure 1a.** *Pseudomonas aeruginosa* PA14 colony morphology at generation 40

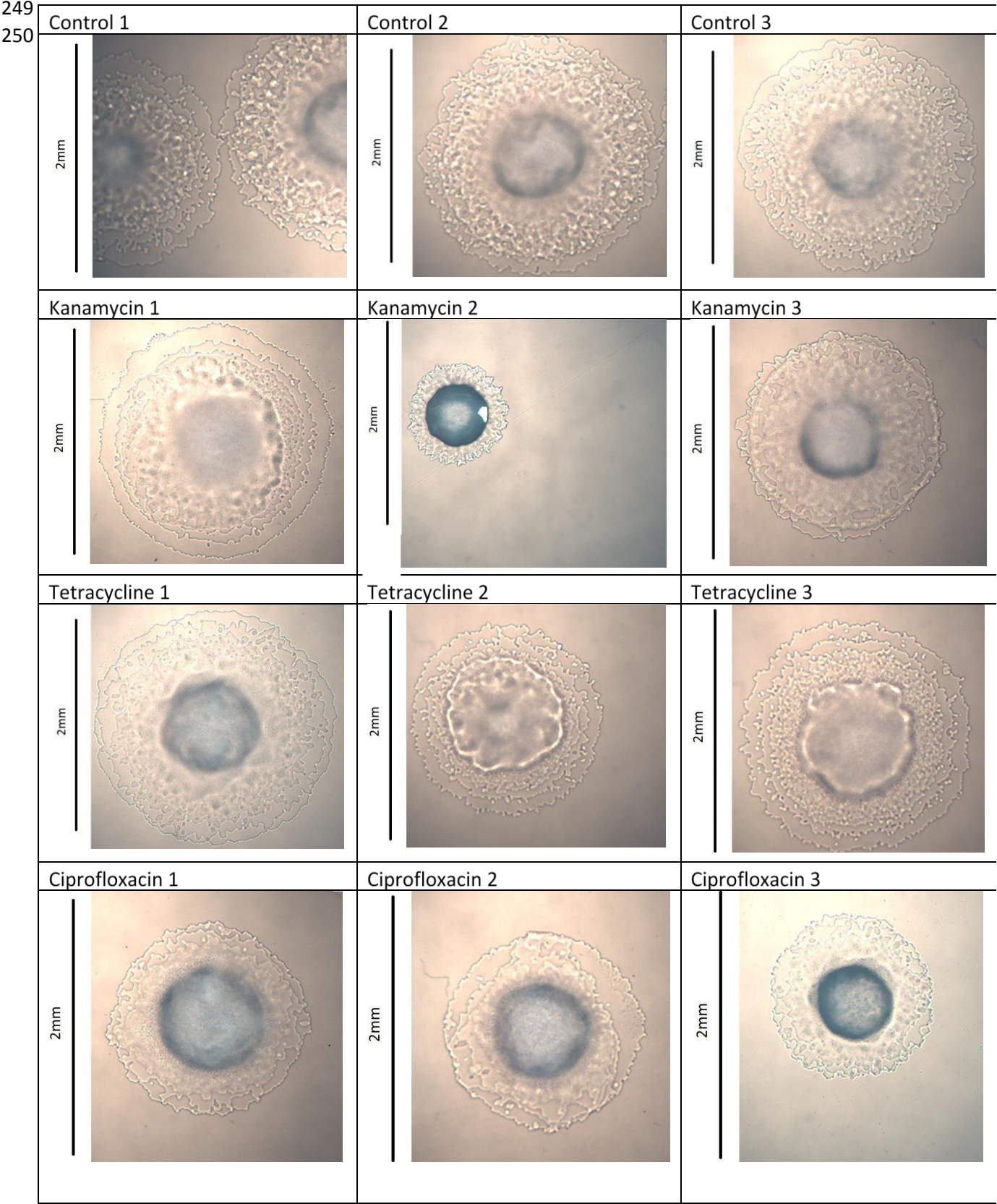


Figure 1b. *Pseudomonas protegens* PF-5 colony morphology at generation 40

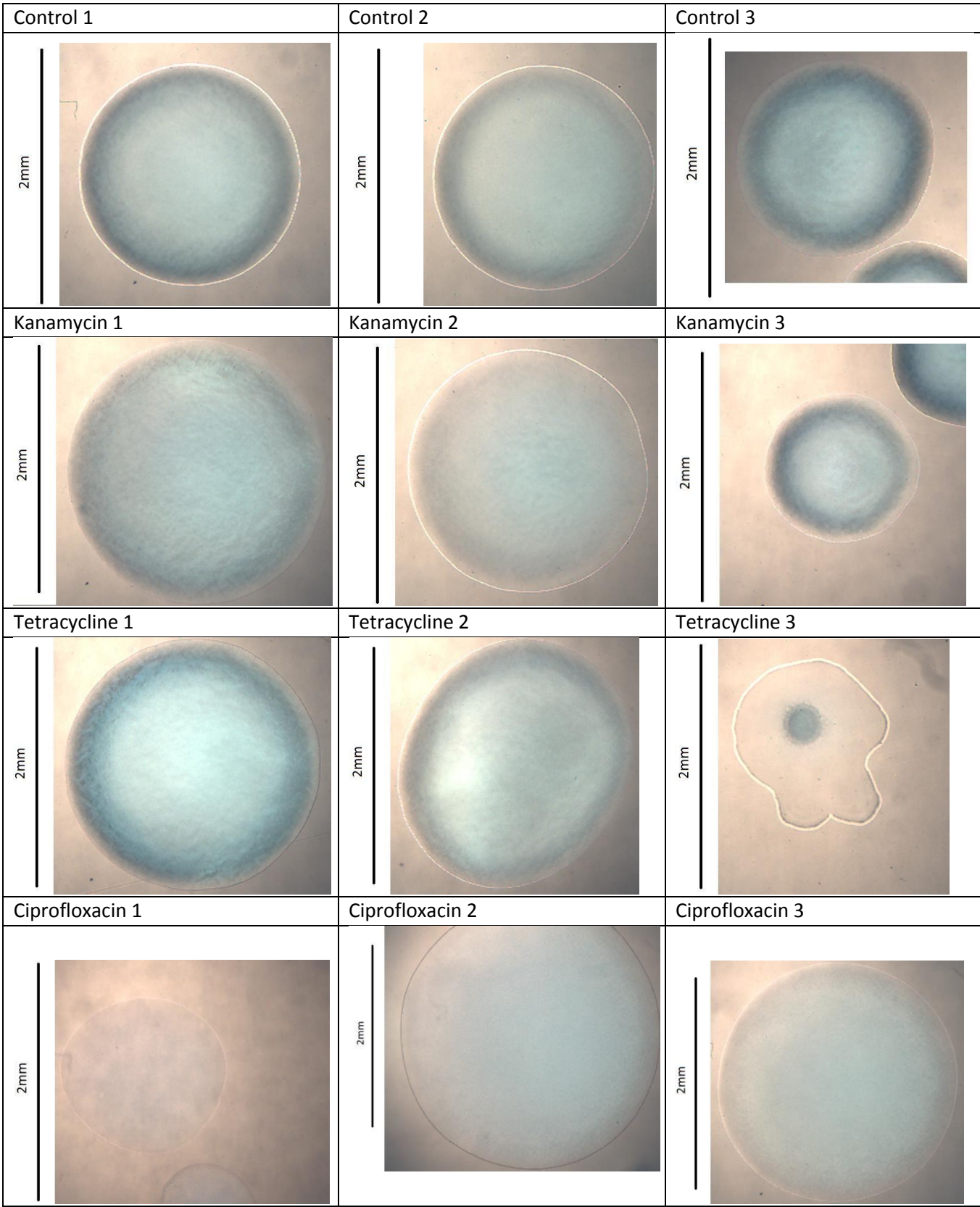


Figure 1c. *Pseudomonas protegens* PF-5A colony morphology at generation 40 245

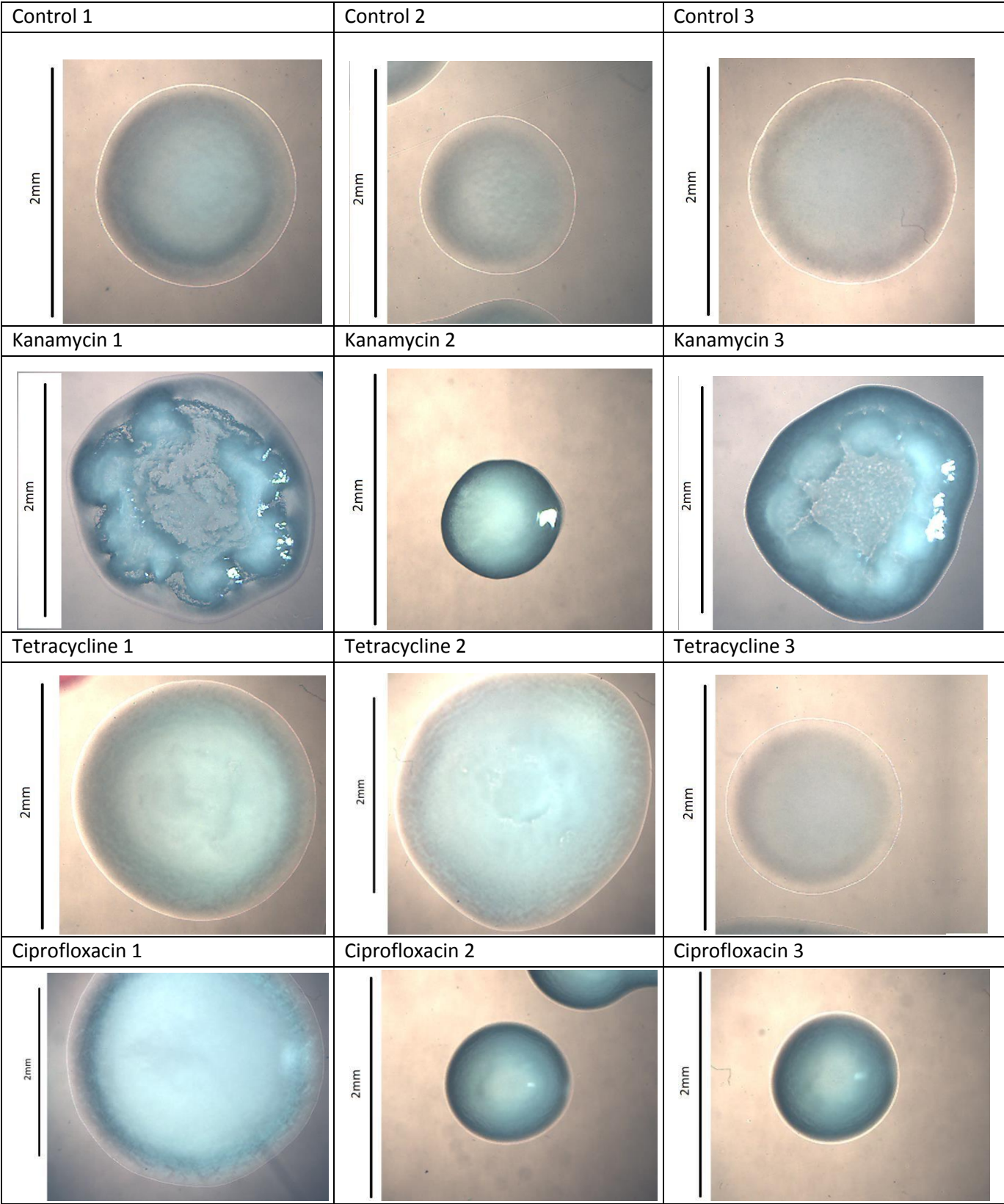
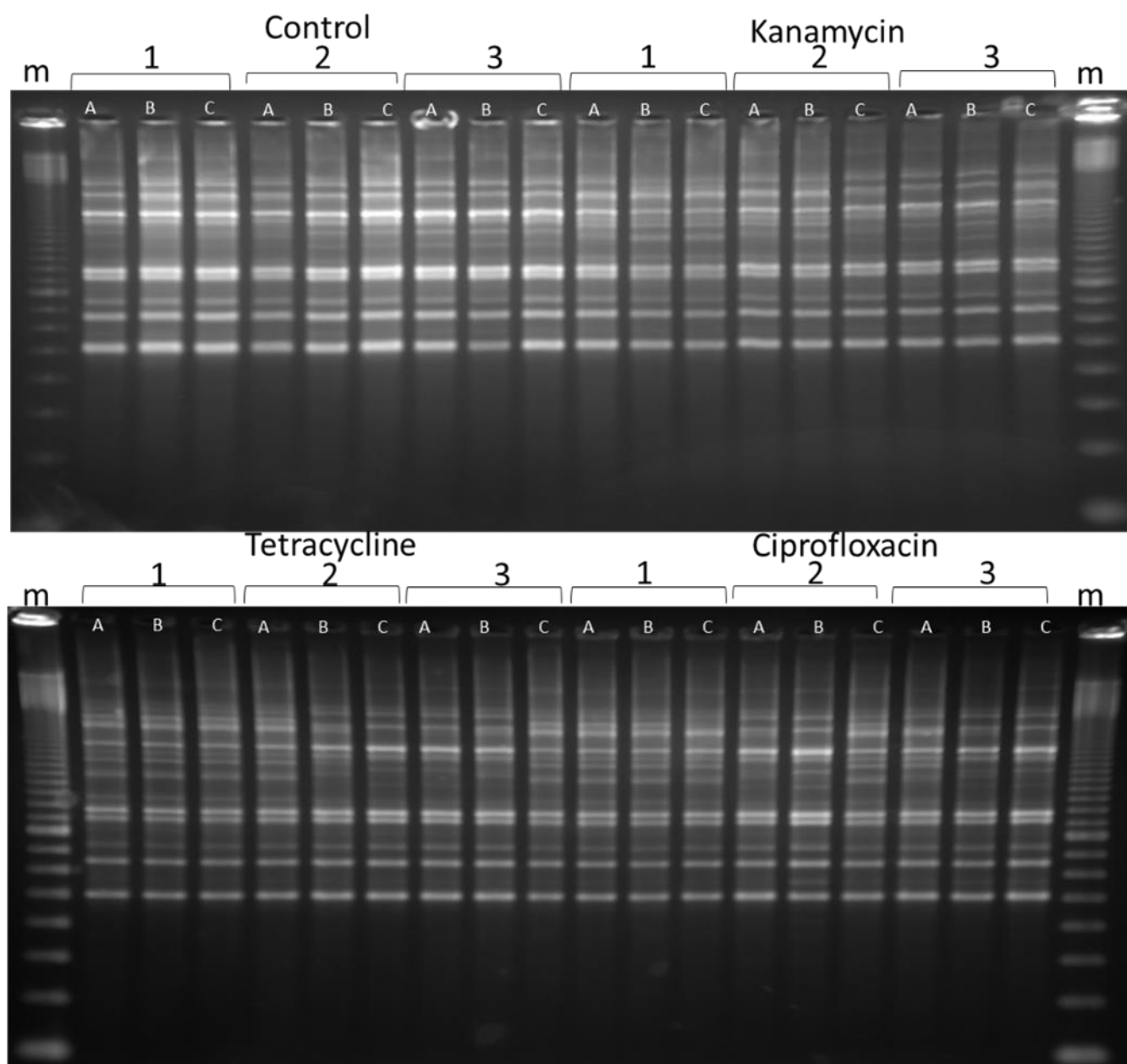


Figure 2. A representative sample of BOX-PCR products. BOX-PCR was performed on generation 40 Ps. Protegens PF-5. Lanes are labelled as follows: m=100bp ladder. Antibiotic treatments are noted as independent lines within each treatment (1, 2, or 3). Three colonies were tested from each line. For further examples see appendix 2.



252 *MIC changes*

253 The MIC of each line was determined in order to detect significant differences in MICs from the
254 control line. There were no significant differences in the MIC of *Ps. aeruginosa* PA14 for any of
255 the treatment lines.

256 There were some significant differences in the MIC of *Ps. protegens* PF-5 and *Ps. protegens* PF-
257 5A. A representative sample of MIC graphs are displayed in Figure 4. Figure 4a demonstrates the
258 MIC of ciprofloxacin for *Ps. protegens* PF5, one line of *Ps. protegens* PF5 that had been exposed
259 to 1/10 the MIC of ciprofloxacin over the serial plating experiment exhibited a 10-fold increase
260 of MIC (DF= 11, F-value = 11.94, P<0.0001). A similar phenomenon was seen in *Ps. protegens*
261 PF5 (Fig. 4b) and *Ps. protegens* PF5A (Fig. 4c) when tested on Kanamycin, where all 6 lines that
262 had been treated with kanamycin over the serial plating experiment had a 4-8 fold increase in
263 MIC (DF= 11, F-value = 1.96, P >0.05 and DF=11, F-value = 46.04, P<0.0001 respectively).

Figure 3. Similarity co-efficient (F) of BOX patterns from experimental lines at Gen 40 ($F = 2N_{xy} / (N_x + N_y)$) compared with control lines. Dots represent the average F-statistic of three samples from each line and each box contains the 9 lines for each treatment.

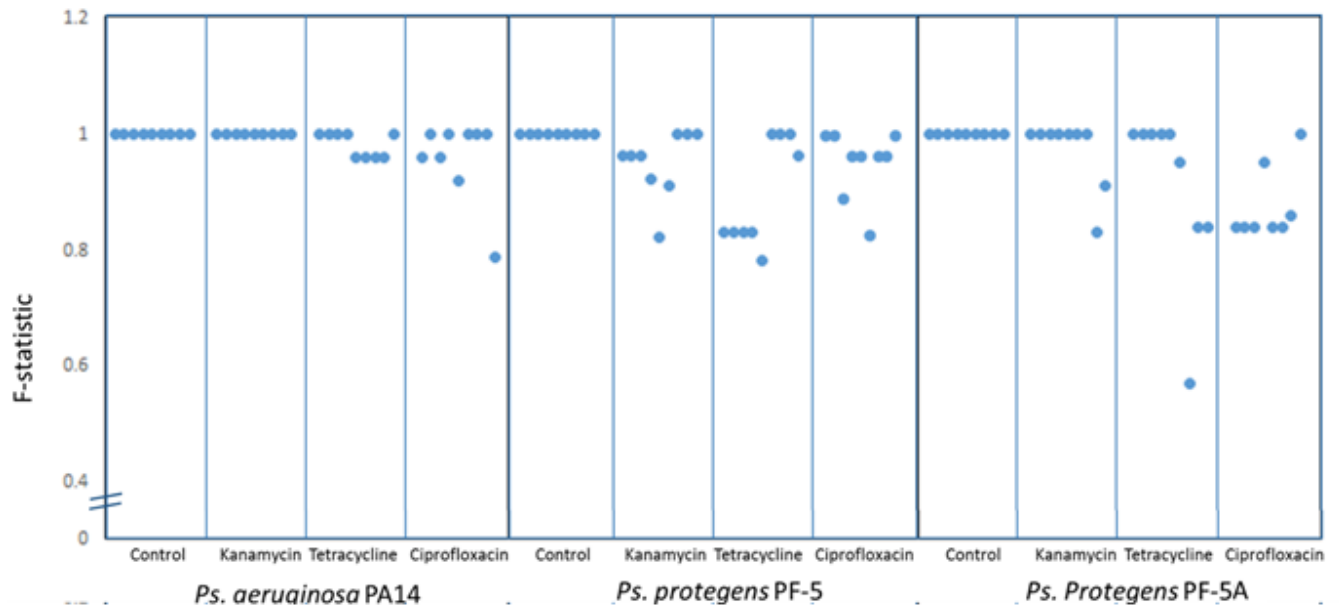
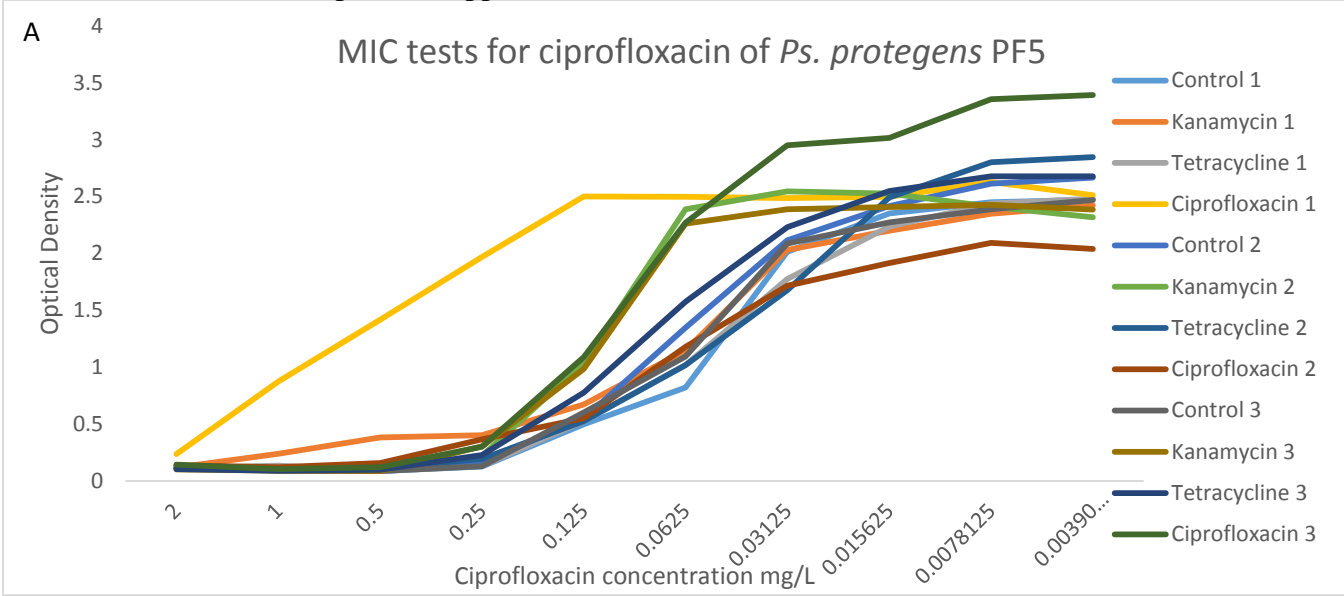
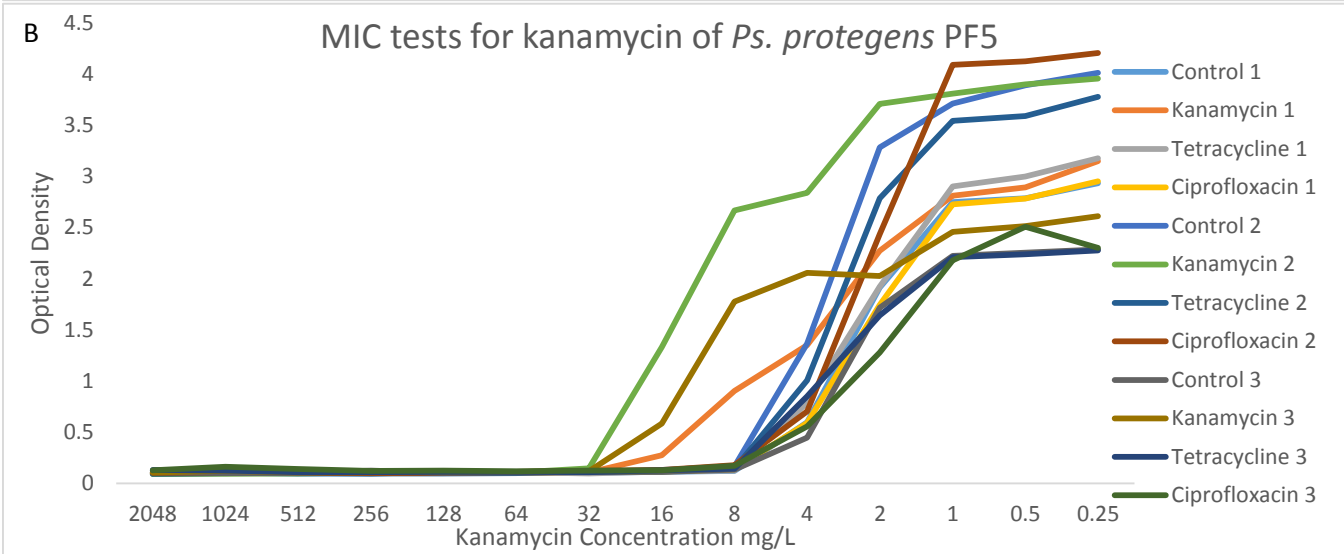


Figure 4. A representative sample of graphs displaying the MIC of selected antibiotics for the tested bacteria. For more examples, see appendix 3

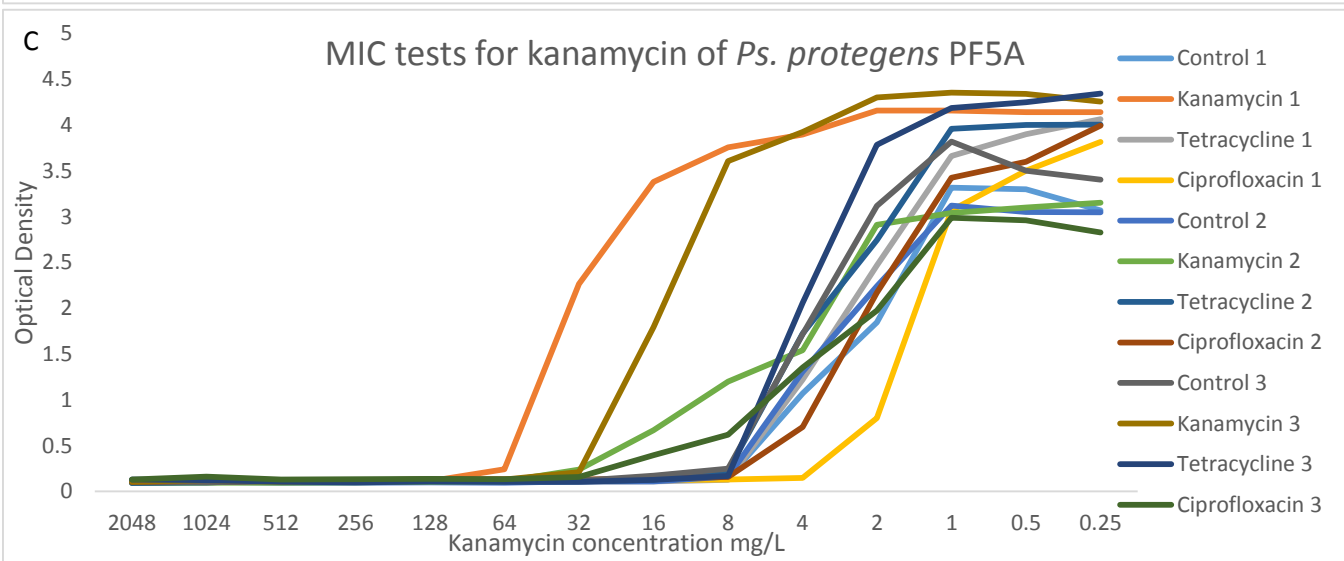
268



269



270



271 **Discussion**

272 Exposure to 1/10 the MIC of the panel of antibiotics tested here had significant genotypic and
273 phenotypic effects. Effects on the genomes were immediate and readily detectable. Even though
274 BOX-PCR is a fairly insensitive measure of genetic variation, DNA fingerprint changes could be
275 detected after only 5 serial transfers. By the end of the experiment, many of the lines exposed to
276 1/10 MIC exhibited significant changes in colony morphology. Perhaps of most significance, all
277 six lines of *Ps. protegens* maintained on 1/10 MIC for kanamycin showed elevated kanamycin
278 MICs by up to 8-fold. Similarly, one line held on 1/10 MIC for ciprofloxacin also showed an
279 elevated ciprofloxacin MIC by 10-fold.

280 These findings suggest that similar phenotypic and genotypic changes will be occurring in all
281 environments where antibiotics reach concentrations of 1/10 MIC, and that these effects will
282 occur in all the environmental microbiomes. *Ps. protegens*, for instance, is a soil-dwelling
283 environmental bacterium.

284 The concentrations of antibiotics used here may be typical of levels of antibiotic pollution. There
285 is limited knowledge about the concentrations of antibiotics found in the environment however it
286 is now known that antibiotics can persist in the environment longer than previously thought. The
287 time that an antibiotic can persist in the environment differs depending on the class of antibiotic
288 and the environmental conditions. Closed bottle tests provide a simple way to measure the
289 biodegradability of antibiotics and indicate whether or not the antibiotic will readily degrade.
290 Classes of antibiotics such as the β -lactams, tetracyclines, macrolides, lincosamides, penicillin,
291 aminoglycosides, carbapenems, nitroimidazoles, polyene-antimycotics, quinolones,
292 sulphonamides and glycopeptides have been found to persist over a 28 day testing period. [39,
293 40] High temperatures and exposure to UV light can cause degradation of some antibiotics.

294 Fluoroquinolone antibiotics can degrade in sunlight, however they are readily absorbed onto
295 sediments, where they have been documented persisting up to 80 days with less than 1% of
296 degradation [41]. It would be convenient if resistant organisms destroyed or inactivated
297 antibiotics, however the mechanisms that usually allow resistance involve mutation of binding
298 sites and efflux pumps meaning that the antibiotics are not physically altered and may persist in
299 the environment [42]. Following one application of manure, antibiotics and antibiotic resistance
300 genes can persist in the soil for approximately six months, depending on environmental
301 conditions, during which time it could be dangerous to consume products that have had direct
302 contact with the soil [43]. Given the significant time frame in which antibiotics can persist in the
303 environment it is highly likely that they will exist at concentrations of, or close to, 1/10 the MIC.

304 The concentration at which antibiotics may occur in the environment is affected by several
305 factors: substrate, proximity to source of antibiotics, environmental conditions and the antibiotics
306 themselves. Testing of several rivers and some oceans have detected the presence of several
307 antibiotic groups, most notably sulphonamides, quinolones which were found at high
308 concentrations in a number of environments. Sulphonamides were detected in water (0.86 -
309 1563µg/L)[44-47] and quinolones were detected in sediments and plants (65.5-1166µg/kg and
310 8.37-6532 µg/kg respectively) [45]. The antibiotic concentration of 1/10 the MIC easily falls into
311 the ranges of antibiotic pollution detected in several waterways which indicates that the results of
312 this study are likely to be indicative of the rates of mutation and recombination that may be
313 taking place in the environment.

314 Very small concentrations of common antibiotics can induce significant genotypic and
315 phenotypic changes in bacterial species. Given the huge quantities of antibiotics that are entering
316 the environment, it is likely that this antibiotic pollution is generating antibiotic resistant

317 organisms that may be a source of newly emerging opportunistic pathogens that will pose
318 significant threat to human and animal life. Changes need to be made at every level of antibiotic
319 use, from the individual, the medical practitioners, pharmaceutical companies and government
320 monitoring and control, otherwise modern medicine is at a risk of facing a post antibiotic era
321 where infections are harder and in some cases impossible to treat. Standard treatments for
322 infections will no longer be sufficient, there will be a high risk of spread of infection and the risk
323 death will be greatly increased.

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Chapter 3

Discussion and Major Conclusion

Exposure to 1/10 MIC of the antibiotics tested in this study had significant genotypic and phenotypic effects. It is likely that similar phenotypic and genotypic changes are occurring in all environments where antibiotic pollutants reach concentrations of 1/10 the MIC. Antibiotics are disseminated into the environment via a number of pathways and do not readily degrade allowing them to persist at varying concentrations. The concentration at which antibiotics may occur in the environment is affected by several factors: substrate, proximity to source of antibiotics, environmental conditions and the antibiotics themselves. Significant research has been done in China to monitor the levels of antibiotics in the environment as China is the world leader in antibiotic production and has been heavily impacted by the effects of multi drug resistant pathogens. In the Haihe River which runs through Beijing 10 out of 12 antibiotics tested for were detected, most notably sulphonamides which were present at high concentrations (24-385ng/L)[50]. Offshore waters of China in the Bohai and Yellow seas have 0.1-16.6ng/L of erythromycin, sulfamethoxazole and trimethoprim antibiotics [51] while sulphonamides were detected in the freshwater Baiyangdian Lake (0.86-1563µg/L). Of further interest, Baiyangdian Lake sediment and plants appear to bioaccumulate quinolone antibiotics (65.5-1166µg/kg and 8.37-6532µg/kg respectively)[52]. High levels of quinolone antibiotics in sediment and plants is interesting as *Ps. protegens* PF-5 is a soil microorganism which is highly associated with numerous plant species. Quinolone antibiotics are a subset of fluoroquinolone antibiotics of which ciprofloxacin belongs so it would be reasonable to suggest their response would be similar and as we have shown, ciprofloxacin at similar concentrations, can induce genomic and phenotypic changes to *Ps. protegens* PF-5. Antibiotics have also been detected in German rivers (0.2-2µg/L) [53] and Vietnamese rivers (7-360ng/L) [54] illustrating that antibiotic pollution is a global problem. The antibiotic concentration of 1/10 the MIC easily falls into the ranges of antibiotic pollution detected in several waterways which indicates that the results of this study are likely to be indicative of the rates of mutation and recombination that may be taking place in the environment.

When antibiotics at sub-inhibitory levels, such as 1/10 MIC are released into the microbiome they induce the SOS response of bacteria which in turn increases the rate of mutations that can

generate resistance and the propensity for lateral gene transfer. Mutations can transform environmental bacteria into pathogenic bacteria, likewise, through lateral gene transfer, previously harmless bacteria can acquire genetic material that generates pathogenicity which could pose threat to human health.

The use of antibiotics is continually placing selective pressure on bacteria and driving the generation and acquisition of genetic elements conferring resistance. Genetic diversity is generated by mutation or lateral gene transfer and the rates at which these mechanisms take place is under balancing selection, whereby in fluctuating or changing environments, the problems associated with the loss of genetic integrity that is produced by mutation and lateral gene transfer, are outweighed by the demand for novelty. Novel traits may be advantageous for individuals in rapidly changing environments. Under stable conditions, the generation of novel genetic elements is suppressed, however under stressful and unstable conditions, such as those created by antibiotics, it is likely that the basal rates of evolution will increase due to activation of the SOS response [55]. With continued exposure to antibiotics, it is likely that these increased basal rates will become fixed within populations and we will see lineages with higher basal rates of mutation and lateral gene transfer [49, 56]

Very small concentrations of common antibiotics can induce significant genotypic and phenotypic changes in bacterial species. Given the huge quantities of antibiotics that are entering the environment, it is likely that antibiotic pollution is generating antibiotic resistant organisms that may be a source of newly emerging opportunistic pathogens that will pose significant threat to human and animal life. Changes need to be made at every level of antibiotic use, from the individual, the medical practitioners, pharmaceutical companies and government monitoring and control, otherwise modern medicine is at a risk of facing a post antibiotic era. Further research in this study could extend to whole genome sequencing of the samples in order to score point mutations, transposition events, indels and/or recombination events. Following this, determination of the function of the gene mutations that occurred. It would be interesting to expand this study to encompass more species and different antibiotics.

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445 **Appendices**

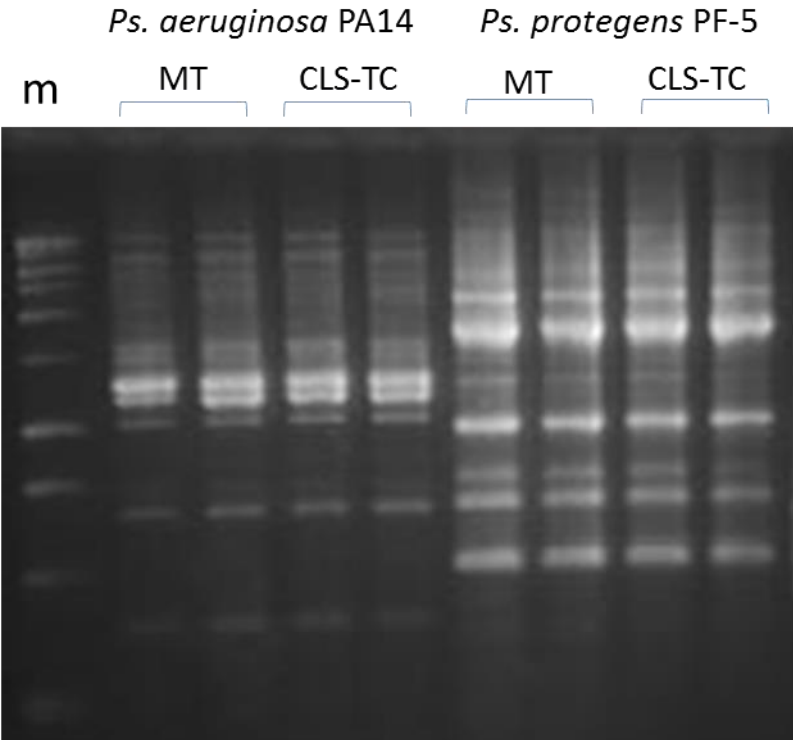
446

447 **Appendix 1.** BOX-PCR performed on *Ps. Aeruginosa* PA14 and *Ps. Protegens* PF-5 DNA

448 extracted using two different methods: using MT buffer lanes 2, 3, 6 & 7 and using CLS-TC

449 buffer lanes 4, 5, 8 & 9. Lane m is 100 base pair ladder. There is no significant difference

450 between the two methods.



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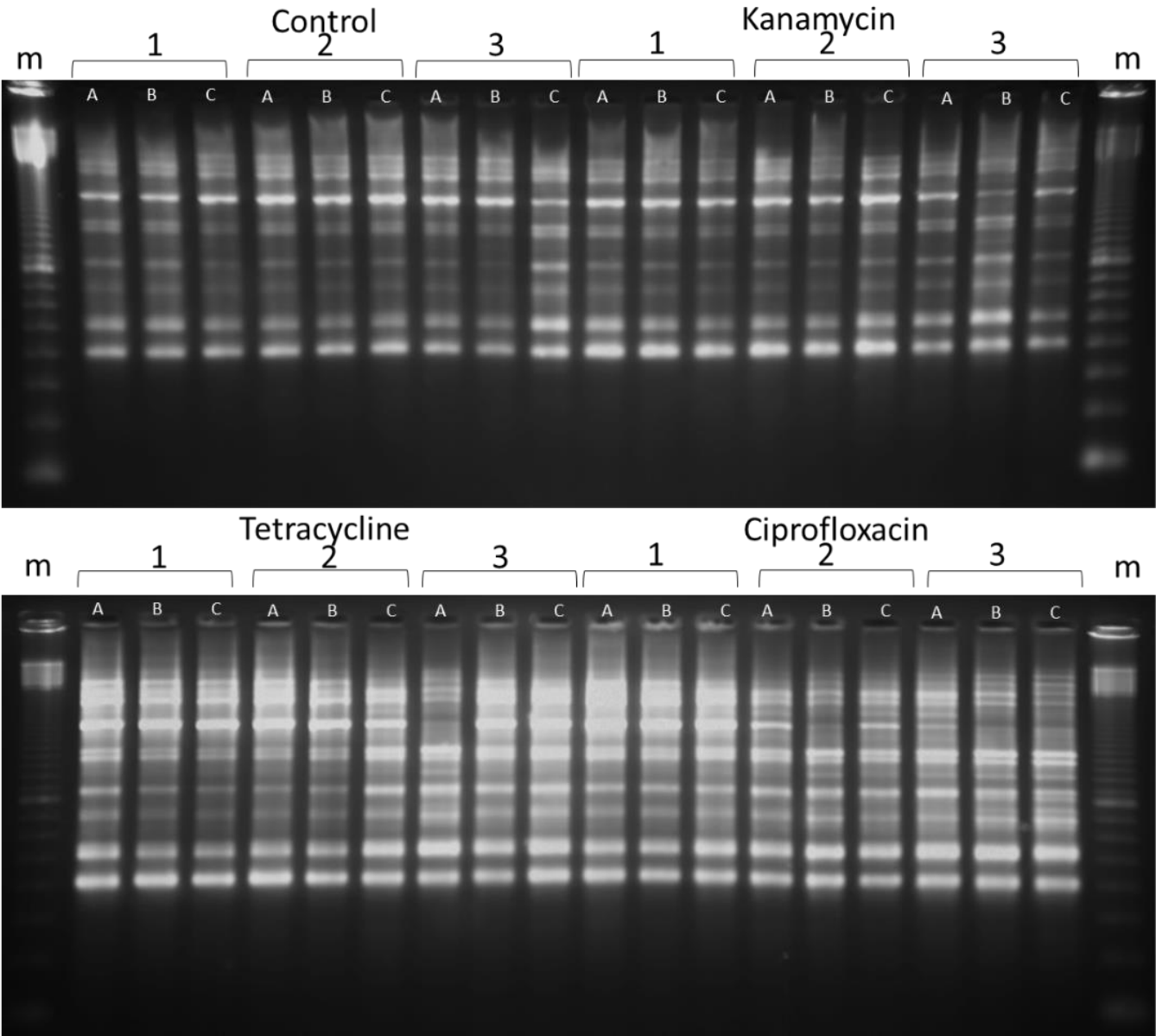
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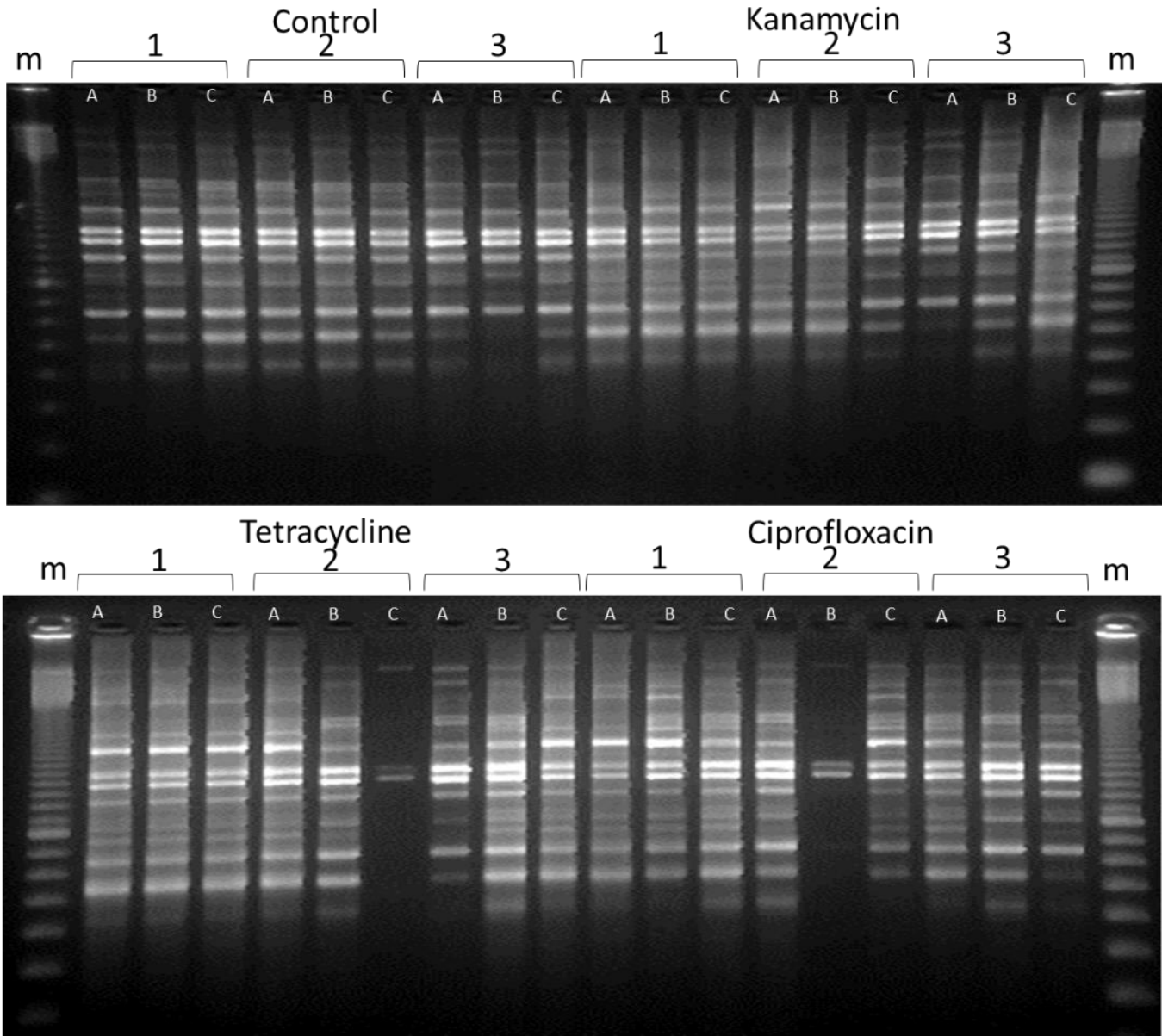
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460 Appendix 2a. BOX-PCR of generation 5 *Ps. aeruginosa* PA14. Band m are 100bp ladder



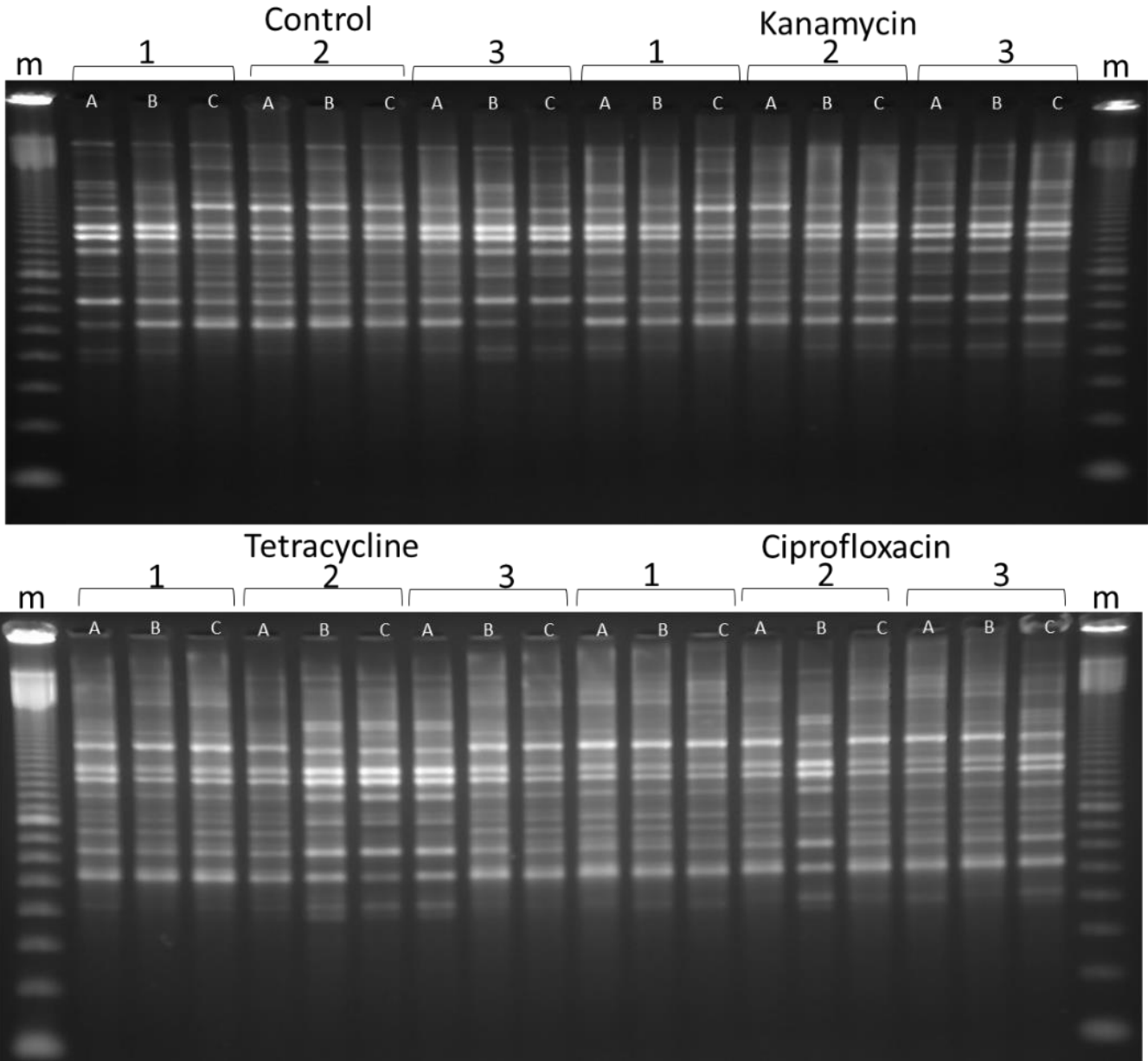
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469 Appendix 2b. BOX-PCR of generation 20 *Ps. aeruginosa* PA14. Bands m are 100bp ladder



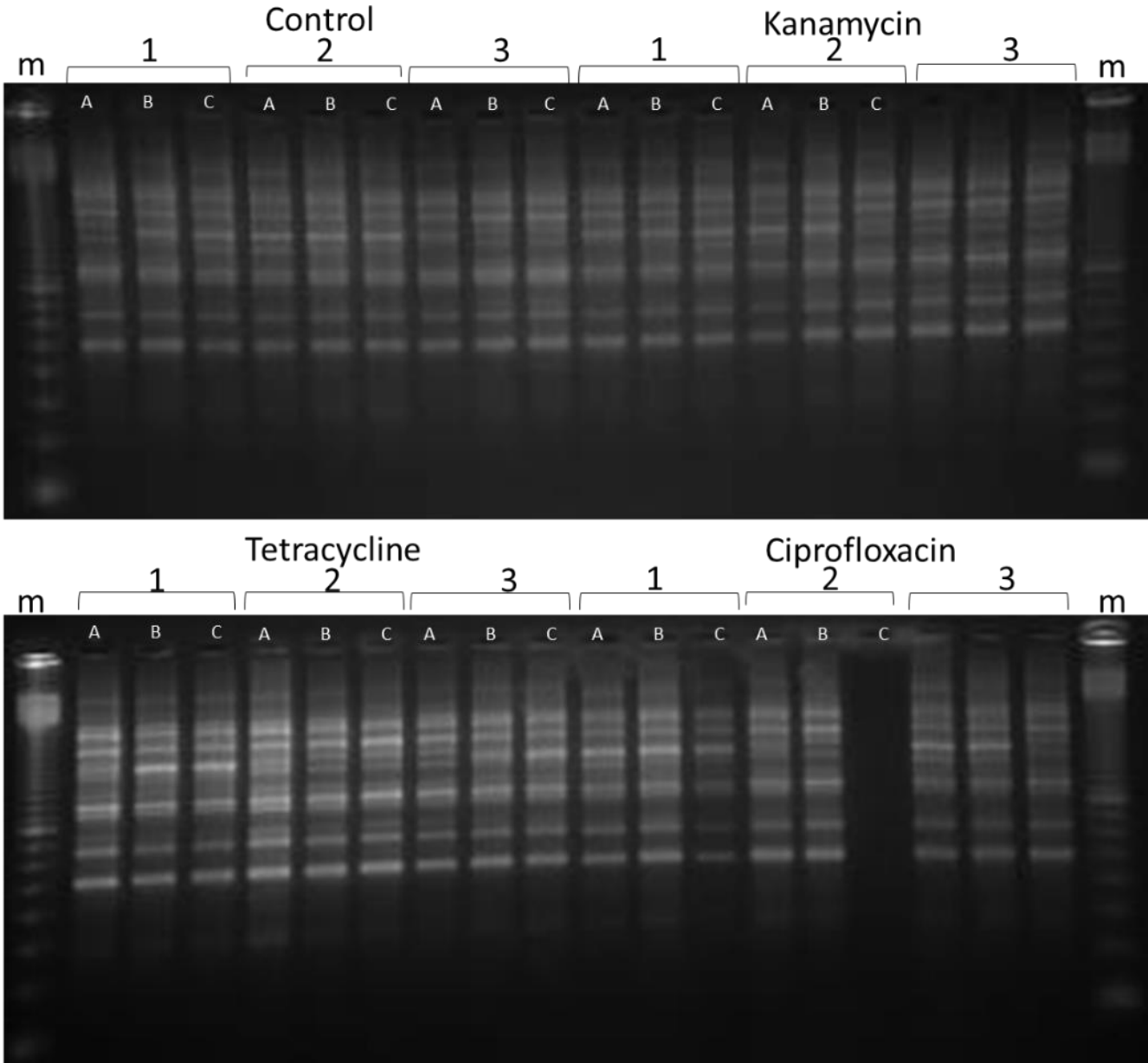
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478 Appendix 2c. BOX-PCR of generation 40 *Ps. aeruginosa* PA14. Bands m are 100bp ladder



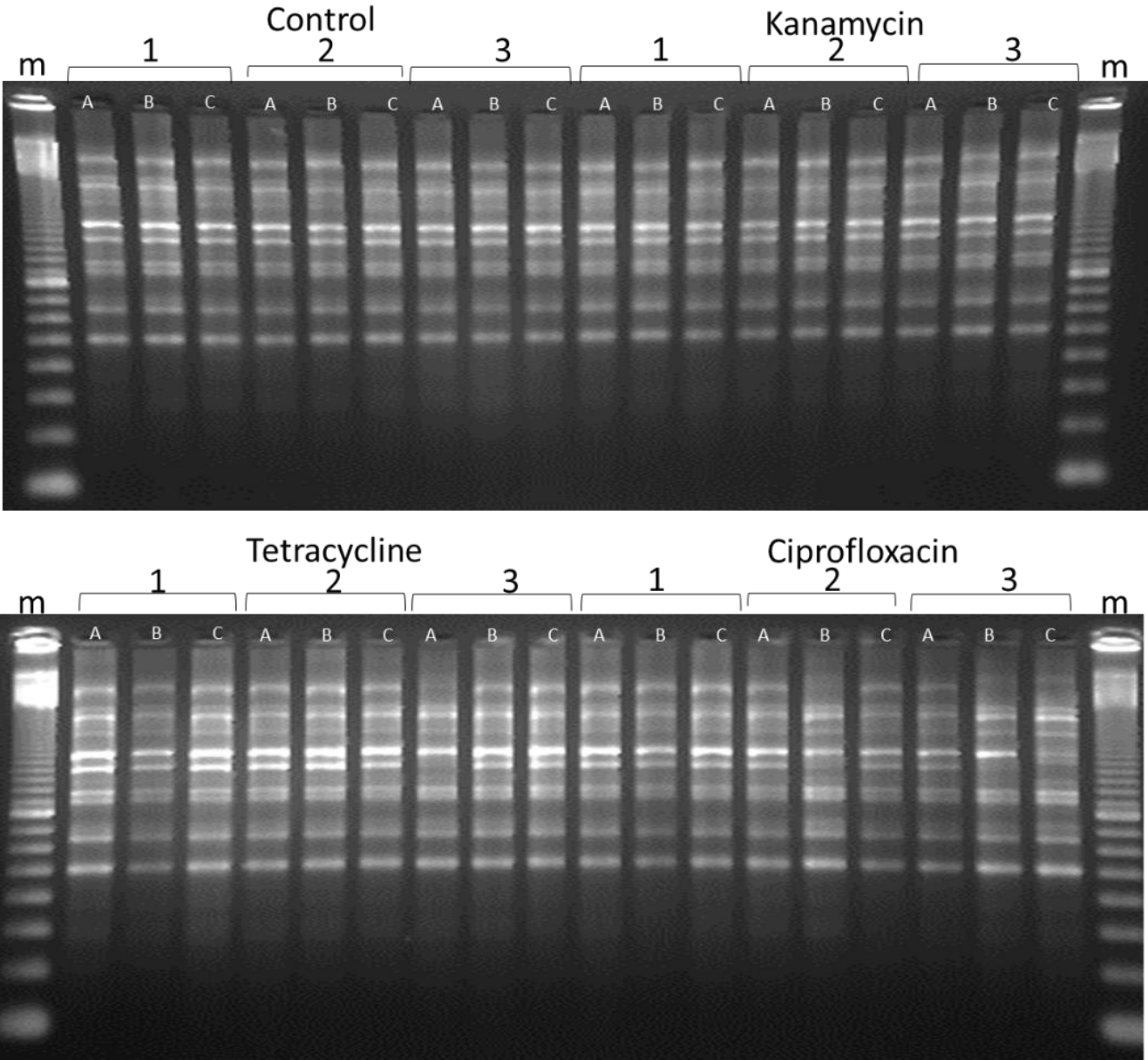
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487 Appendix 2d. BOX-PCR of generation 5 *Ps. protegens* PF-5. Bands m are 100bp ladder



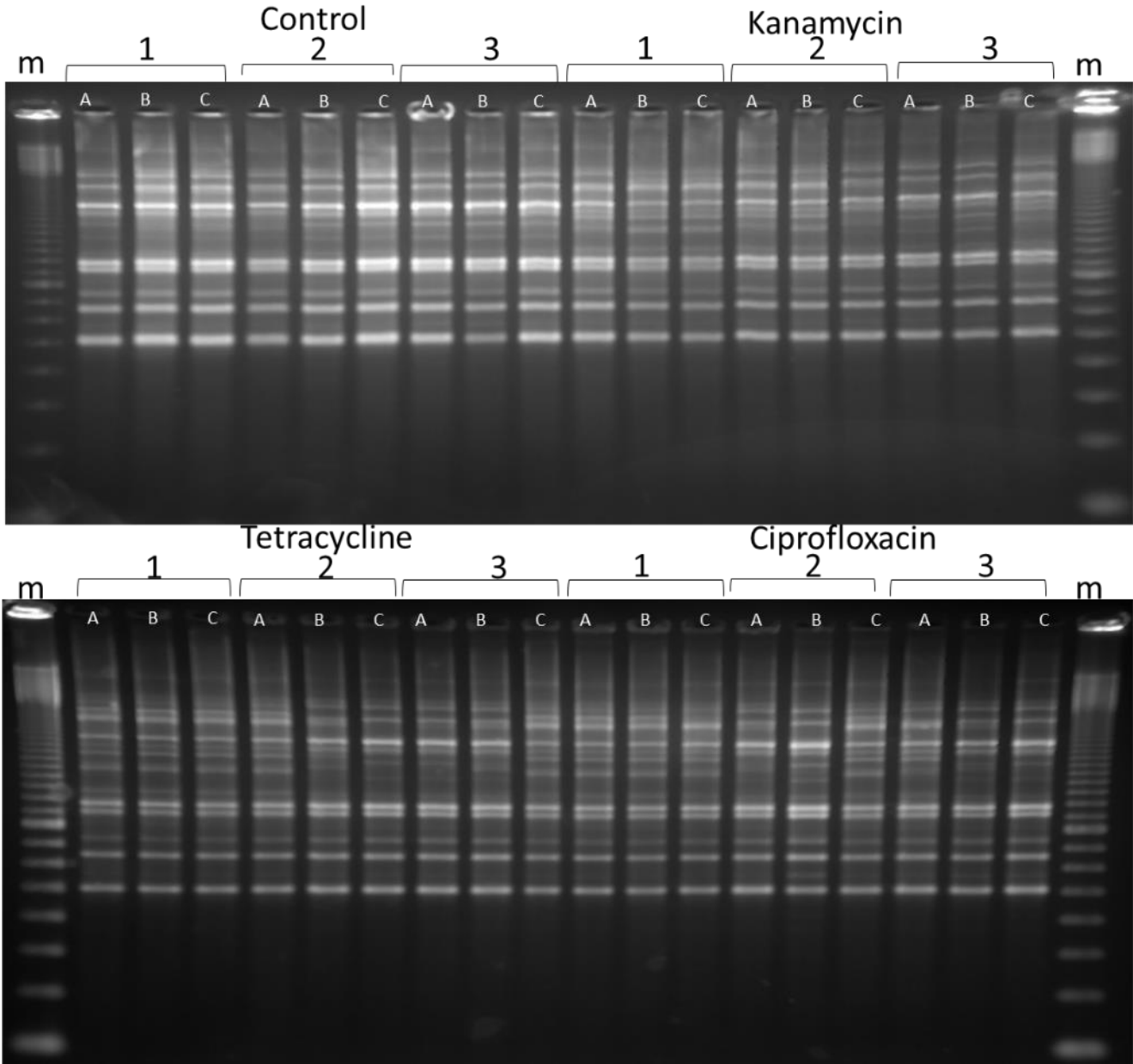
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496 Appendix 2e. BOX-PCR of generation 20 *Ps. protegens* PF-5. Bands m are 100bp ladder



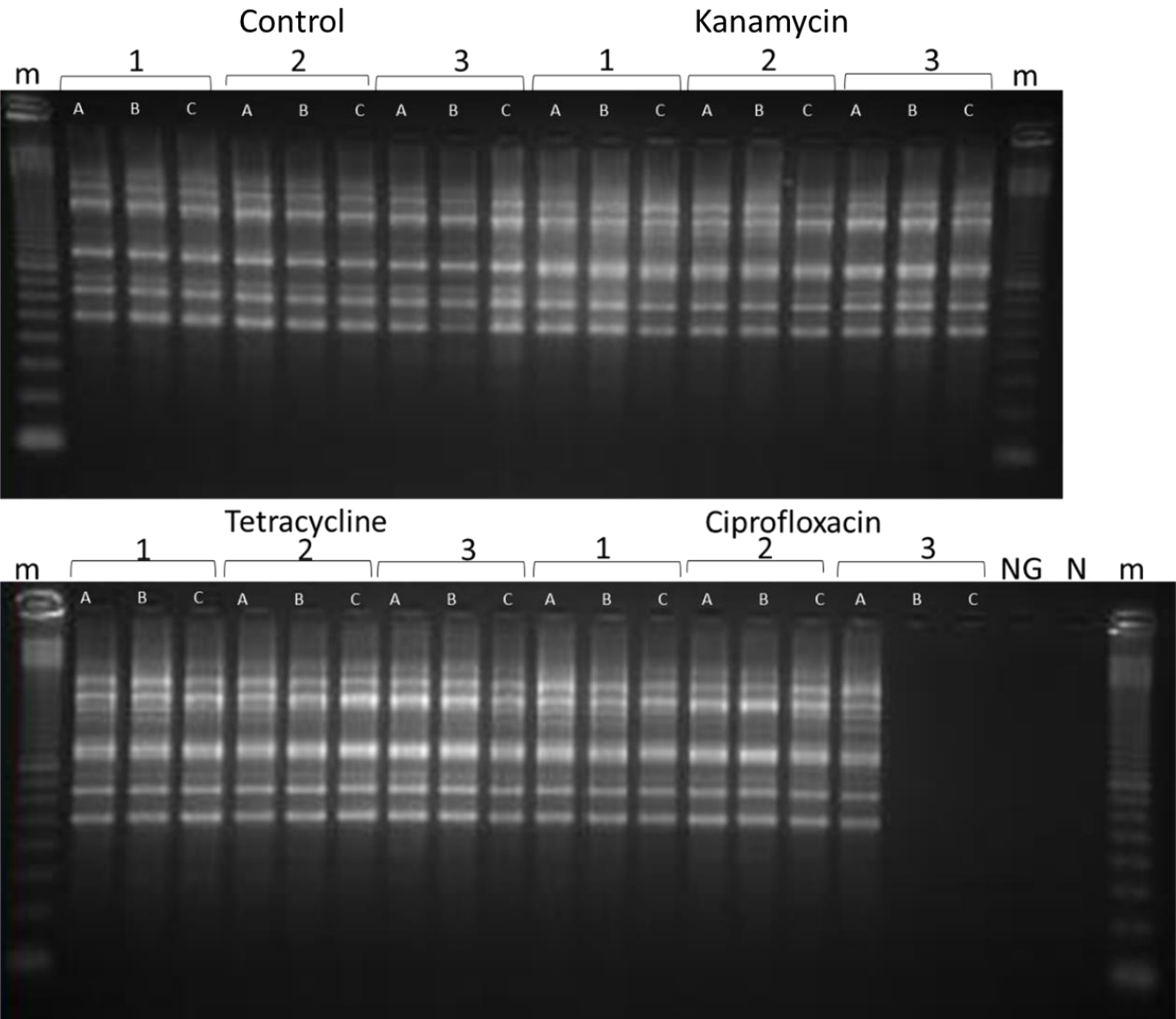
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505 Appendix 2f. BOX-PCR of generation 40 *Ps. protegens* PF-5. Bands m are 100bp ladder



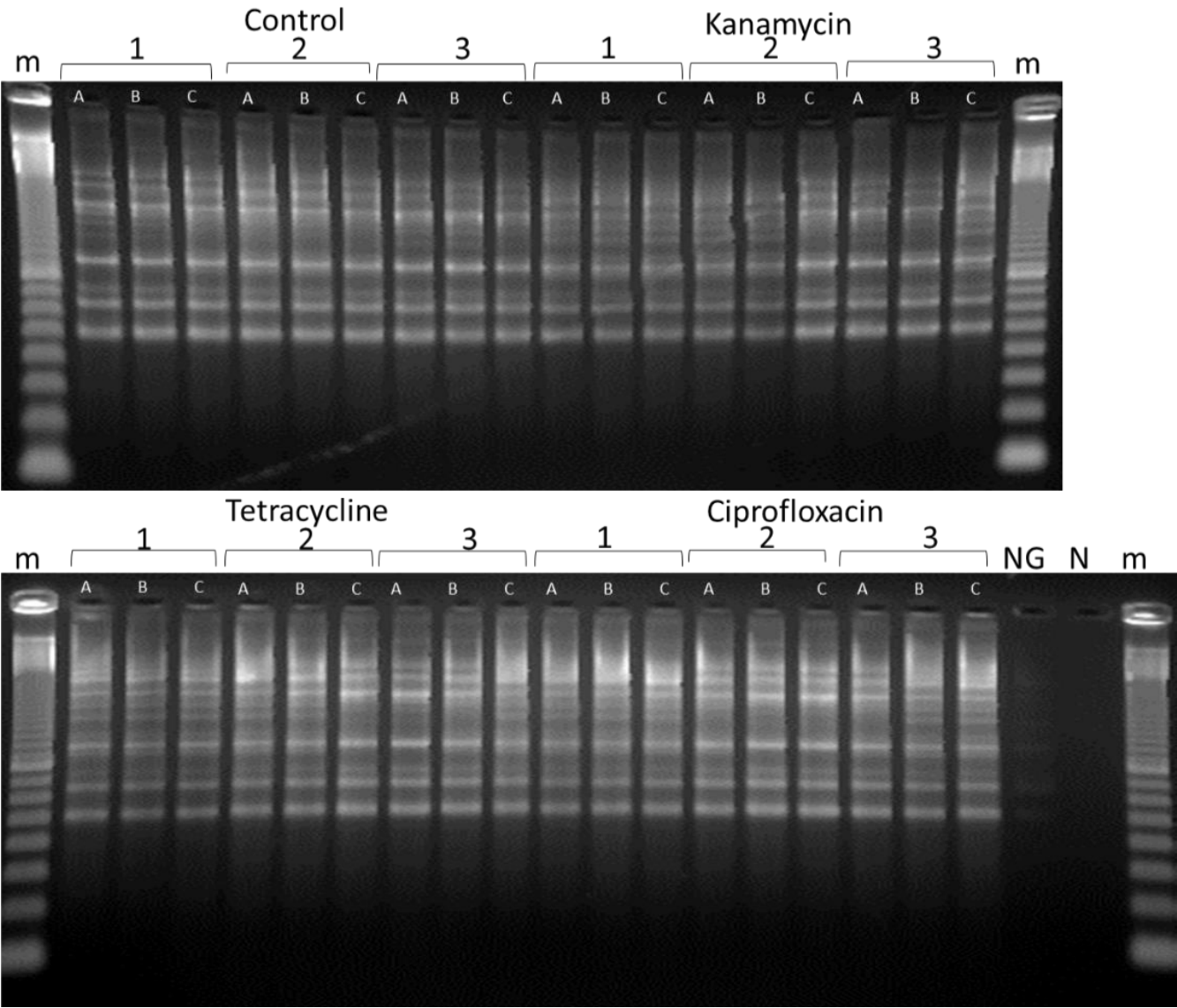
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513 Appendix 2g. BOX-PCR of generation 5 *Ps. protegens* PF-5A. Bands m are 100bp ladder. The band marked
514 NG is a negative control with genereleaser. The band marked N is a negative control without
515 genereleaser



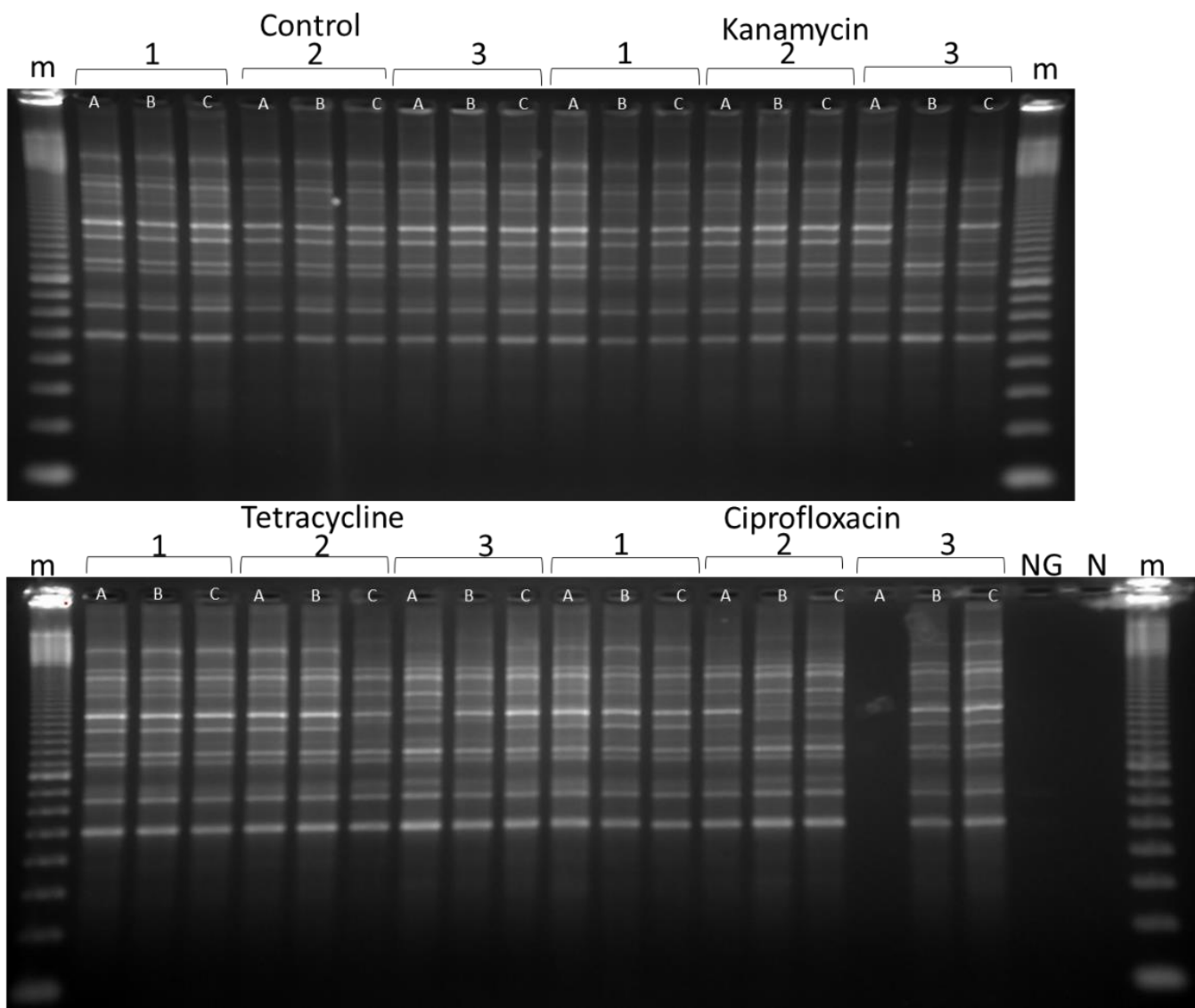
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522 Appendix 2h. BOX-PCR of generation 20 *Ps. protegens* PF-5A. Bands m are 100bp ladder. The band
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524 genereleaser



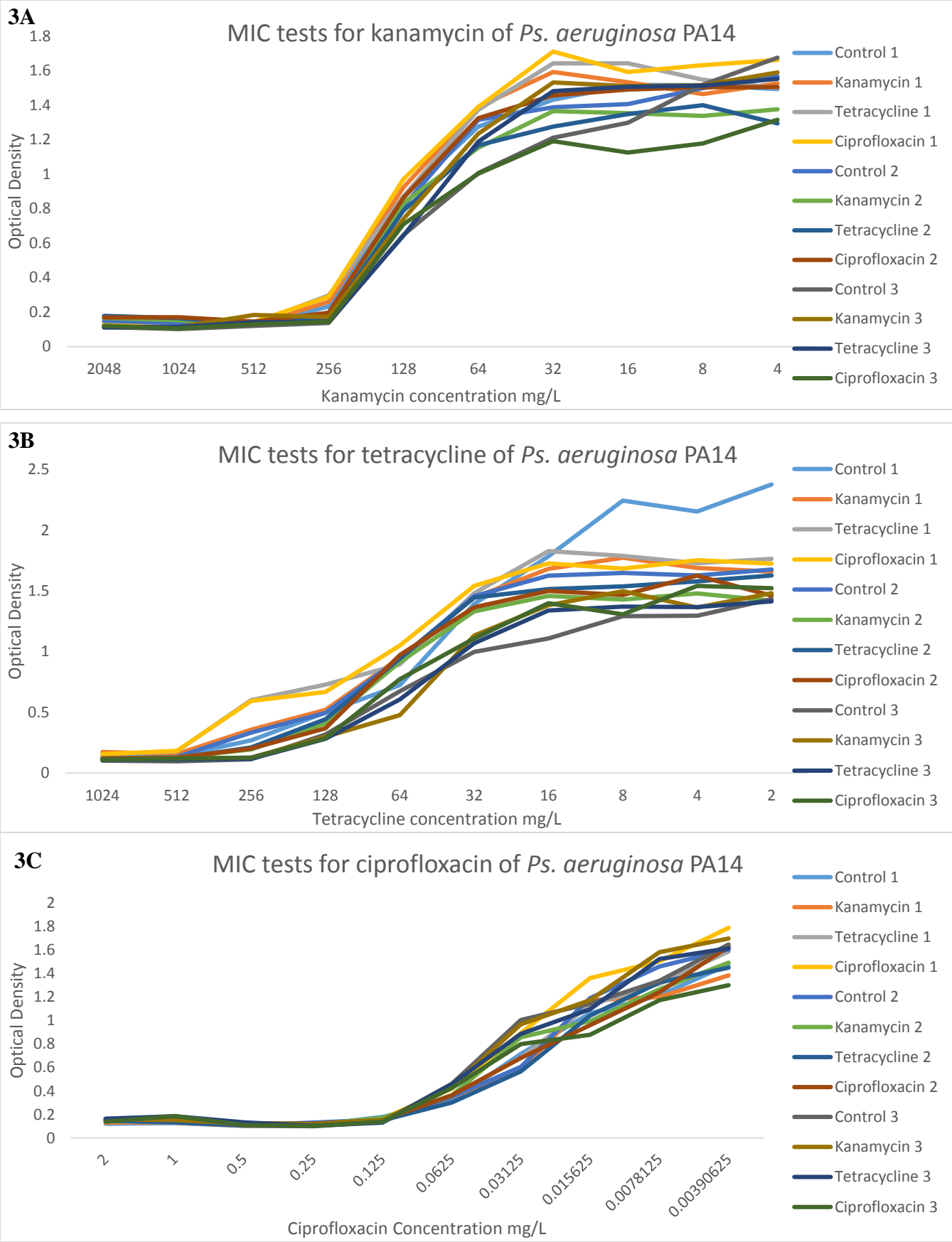
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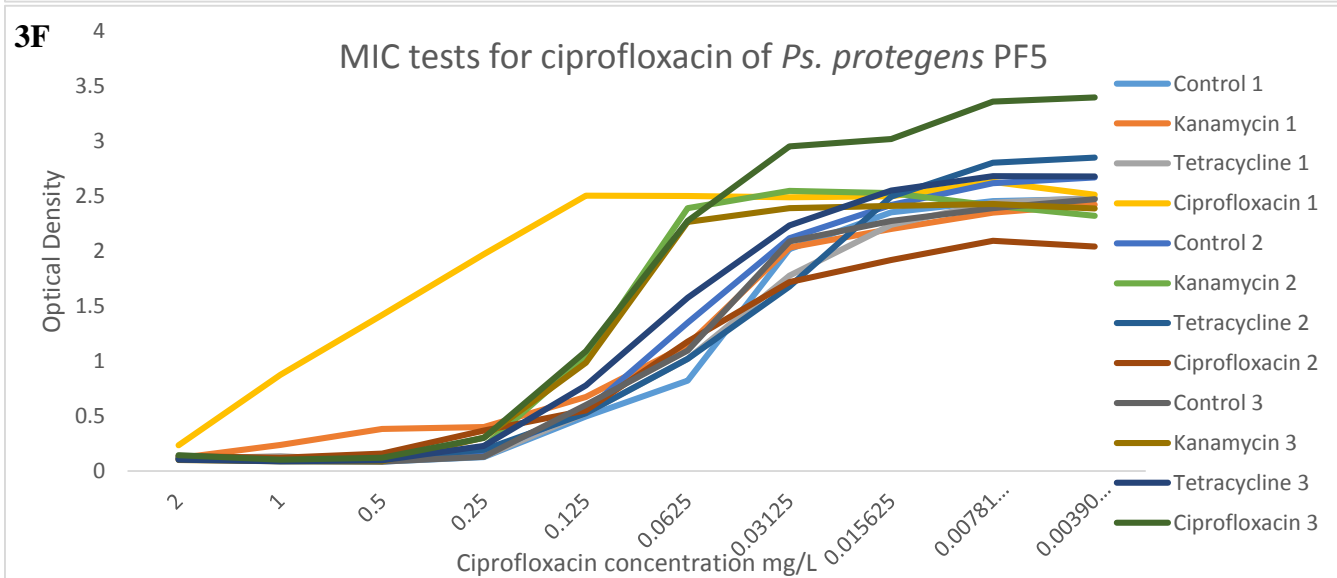
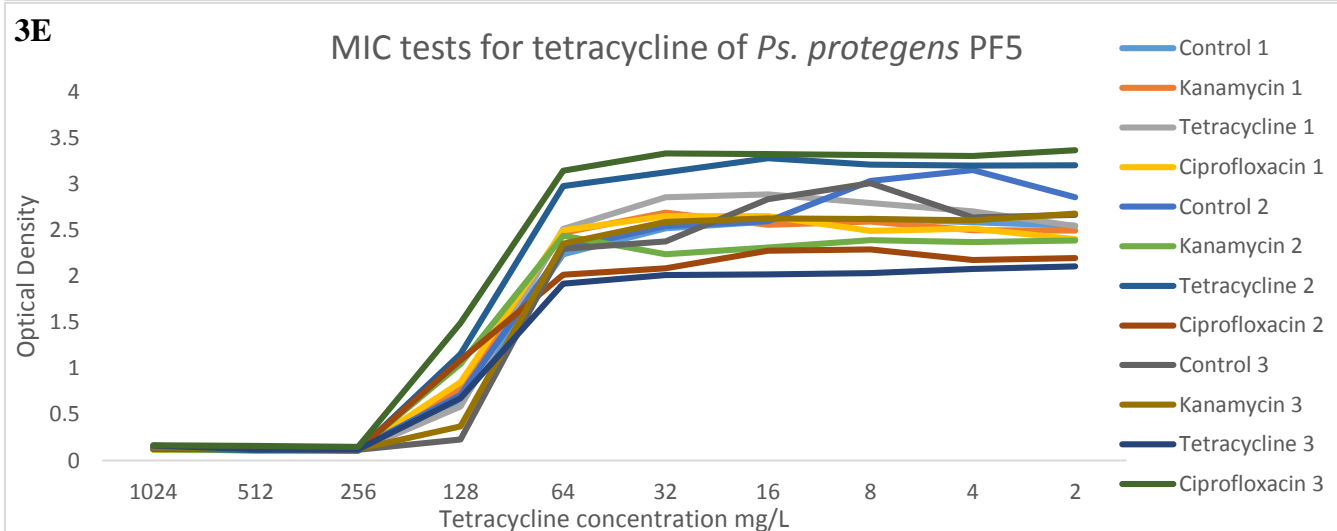
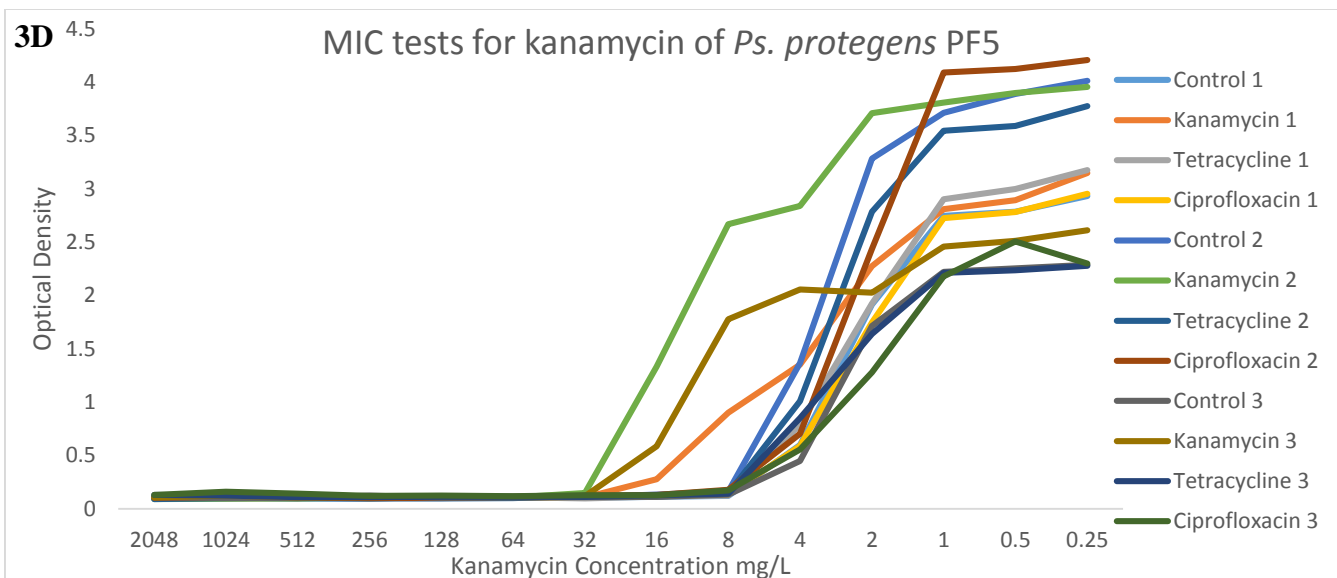
532 Appendix 2i. BOX-PCR of generation 40 *Ps. protegens* PF-5A. Bands m are 100bp ladder. The band
533 marked NG is a negative control with genereleaser. The band marked N is a negative control without
534 genereleaser

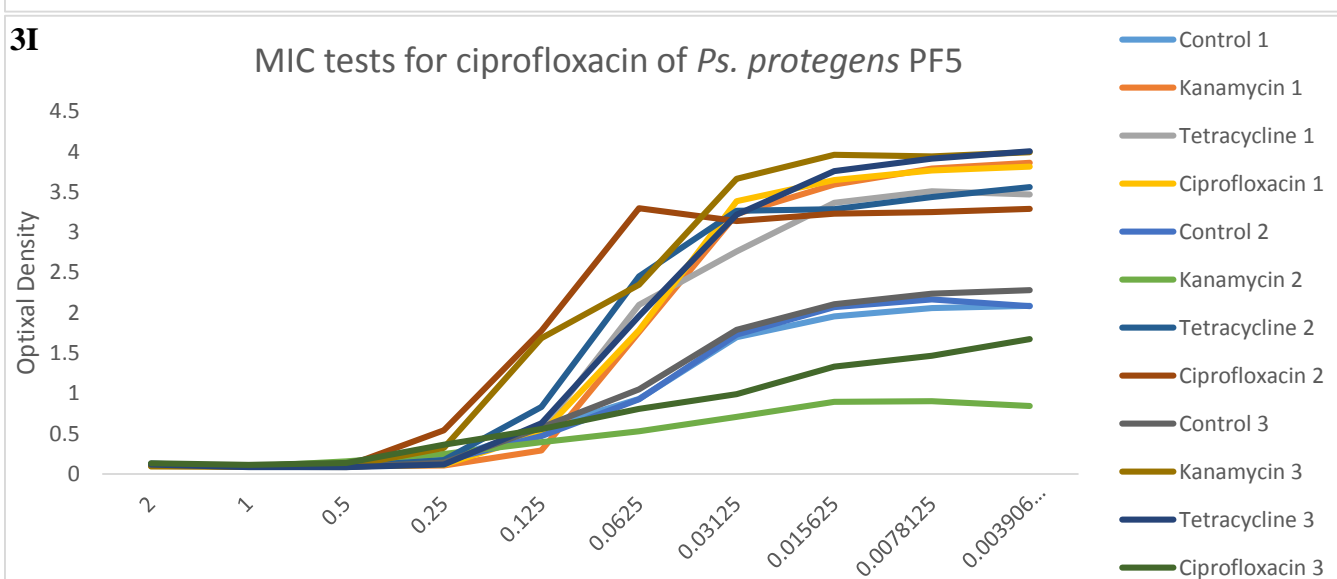
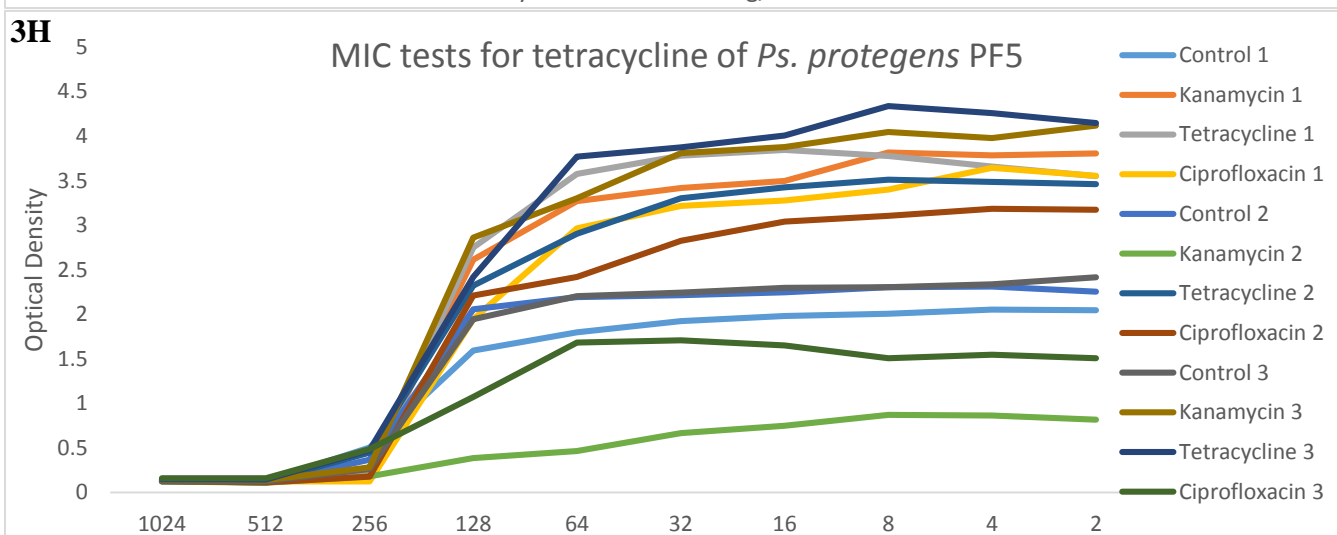
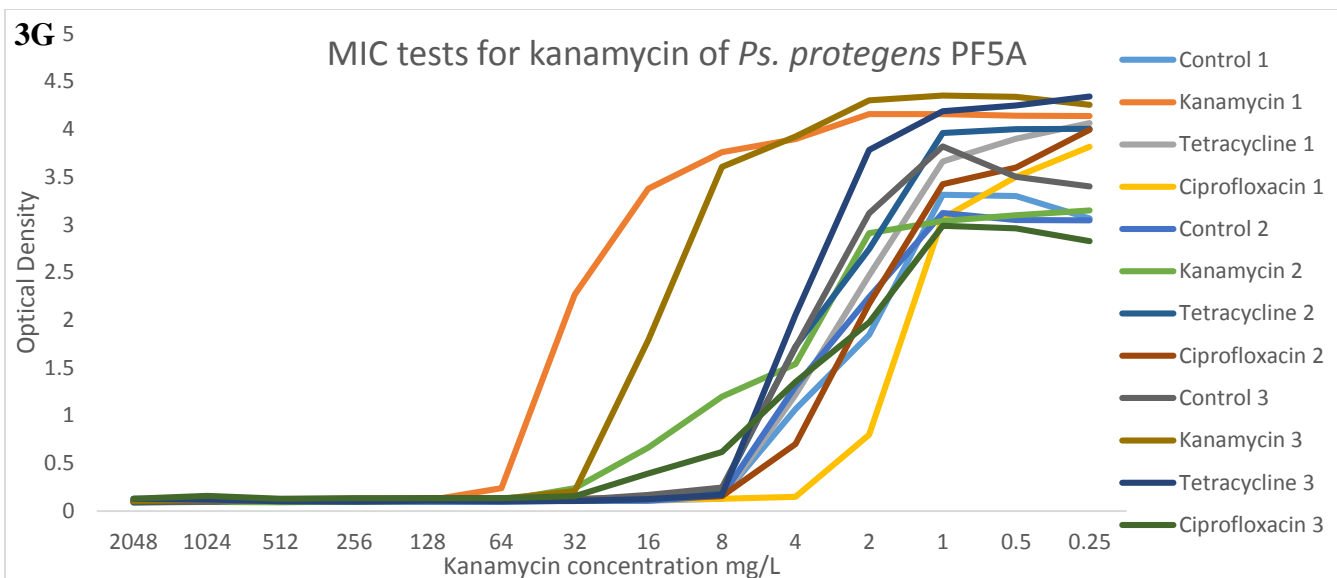


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Appendix 3. MIC of antibiotics for the tested bacteria







549 **Acknowledgments**

550 I would like to gratefully acknowledge the guidance and support I have received from my
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553 Holley for all the help they have given me.

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